

1      Uncertainties in synthetic Meteosat SEVIRI infrared  
2      brightness temperatures in the presence of cirrus clouds  
3      and implications for evaluation of cloud microphysics

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6   **Abstract**

Synthetic brightness temperatures of five infrared Meteosat SEVIRI channels are investigated for their sensitivities on cirrus radiative properties. The operational SynSat scheme of the regional German weather prediction model COSMO-DE is contrasted to a revised scheme with a special emphasis on consistency between the model-internal ice-microphysics and infrared radiation in convective situations. In particular, the formulation of generalized effective diameters of ice, snow and graupel as well as subgrid-scale cloud cover has been improved. Based on the applied modifications, we first show that changed assumptions on the cirrus radiative properties can lead to 10 K warmer brightness temperatures. Second, we demonstrate that prescribed relative changes of 20% in cloud cover and particle size induce maximum changes of around 4 to 5 K. The maximum sensitivity appears for semi-transparent cirrus having brightness temperatures around 240 and 260 K and total frozen water path around 30 gm<sup>-2</sup> for viewing geometries over Central Europe. We further consider the known COSMO-DE cold bias to discuss the problem of inconsistencies in model-internal and external formulations of cloud microphysical and radiative properties. We demonstrate that between 35% and 70% of the cold bias can be attributed to the ra-

diative representation of cirrus clouds. We additionally discuss the use of window-channel brightness temperature differences for evaluation of model microphysics and hypothesize that the amount of COSMO-DE ice is overestimated in convective situations.

7 *Keywords:* Meteosat, Infrared brightness temperatures, Radiation,  
8 Ice-microphysics, Parameterization consistency

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## 9 **1. Introduction and motivation**

10 Over the last decades, satellite observations have become indispensable  
11 data source for numerical weather prediction leading to substantial improve-  
12 ment in forecasting skill (Bauer et al., 2015). While the methods of using  
13 cloud-free hyperspectral infrared or microwave radiances from polar orbiting  
14 satellites together with variational data assimilation have reached a level of  
15 maturity in retrieving atmospheric profile information on global scales, the  
16 use of cloud-affected radiances of frequently observing, high resolution geo-  
17 stationary imagers remains one of the major challenges for data assimilation  
18 on the regional or convective scale (Bauer et al., 2011).

19 One major component of state-of-the-art data assimilation and model  
20 verification / evaluation strategies consists of the transfer of model data into  
21 observation space using computationally efficient radiative transfer models  
22 (e.g. Saunders et al., 1999), also called forward operators. Resulting syn-  
23 thetic satellite observations represent the simulated spatial distribution of  
24 the top-of-atmosphere outgoing radiation accounting for the spectral re-  
25 sponse of a chosen satellite sensor and can easily be compared to real ob-  
26 servations. Synthetic satellite images derived for imaging radiometers have  
27 been used for model verification for more than twenty years. Morcrette  
28 (1991) used synthetic infrared Meteosat images to evaluate the diurnal cy-

29 cles of surface temperature and cloudiness of the global ECMWF (Euro-  
30 pean Centre for Medium-Range Weather Forecasts) model. He coined the  
31 term model-to-satellite approach. Roca et al. (1997) investigated the abil-  
32 ity of a general circulation model to reproduce the observed relationship  
33 between tropical convection and subtropical moisture in the upper tropo-  
34 sphere. The life-cycle of cloud systems and the diurnal cycle of cloud cover  
35 was further studied based on different model architectures with special em-  
36 phasis on the representation of temporal and spatial variability in cloud  
37 forecasts (Chaboureau et al., 2000; Chevallier and Kelly, 2002; Slingo et al.,  
38 2004). For instance, Chaboureau et al. (2000) found an overestimation of  
39 the upper-level cloud cover in simulations of their Meso-NH model. Otkin  
40 et al. (2009) derived synthetic infrared MSG SEVIRI (Meteosat Second Gen-  
41 eration Spinning Enhanced Visible and Infrared Imager) brightness tem-  
42 peratures for high resolution model runs and showed that the simulated  
43 brightness temperatures realistically depict many of the observed features.  
44 Using a joint analysis of window-channel brightness temperature distribu-  
45 tions and cross-channel differences, they could identify limitations in their  
46 current cloud-microphysical scheme. Sensitivities of derived model forecasts  
47 and correspondingly of synthetic brightness temperatures to variations in  
48 microphysics and boundary layer parameterizations have been investigated  
49 by e.g. Otkin and Greenwald (2008) and Cintineo et al. (2014). Based on  
50 the comparison with observed cloud features as well as brightness tempera-  
51 tures, they identified the typical range of variations and the best performing  
52 schemes for certain cloud types. As model resolution steadily improves syn-  
53 thetic satellite images become increasingly important for the validation of  
54 deep convective processes (see e.g. Bikos et al., 2012).

55 For the German numerical weather prediction model COSMO-DE (Con-

56 sortium for Small-scale Modeling - DE), a systematic bias in cold cloud cover  
57 was identified in several earlier studies (Pfeifer et al., 2010; Böhme et al.,  
58 2011; Eikenberg et al., 2015) which were using synthetic satellite images  
59 derived with the operational SynSat algorithm (Keil et al., 2006). It was  
60 found that the occurrence frequencies of brightness temperatures in the MSG  
61 SEVIRI 10.8  $\mu\text{m}$  channel (BT10.8) at around 230 K are significantly overes-  
62 timated by the model-based synthetic satellite images. Recently, Eikenberg  
63 et al. (2015) could show that the cold bias can be partially reduced when  
64 improvements to the microphysical parameterization, especially concerning  
65 the representation of ice nucleation processes as described in Köhler and  
66 Seifert (2015), are included.

67 For current data assimilation systems, the incorporation and beneficial  
68 use of cloud-affected satellite radiance is still challenging. For instance,  
69 Stengel et al. (2013) discussed the positive impact of the assimilation of  
70 cloud-affected infrared radiances on the moisture and geopotential height  
71 fields. Okamoto et al. (2013) assessed the use of the average cloud effect  
72 defined as difference between cloudy and clear-sky radiation for data as-  
73 similation purposes. Furthermore, Schomburg et al. (2014) established a  
74 concept for the assimilation of satellite-derived cloud properties within a  
75 mesoscale model using an ensemble Kalman Filter approach. All these data  
76 assimilation activities can benefit from a good assessment of uncertainties  
77 of synthetic satellite images in cloudy conditions.

78 However, one current problematic aspect in the simulation of synthetic  
79 satellite images is that often differing and contradicting assumptions on the  
80 properties of hydrometeors are applied in the model microphysics and in  
81 the radiative transfer. Therefore, several variables that are derived from the  
82 prognostic model variables via diagnostic schemes, for instance subgrid-scale



83 cloud cover and effective particle size, are to some degree unconstrained.  
84 This can introduce uncertainties in the synthetic radiances and partially  
85 complicates the interpretation of observed model biases and their sensitiv-  
86 ity to model changes. To address that issue, a more strict reformulation  
87 of subgrid-scale parameterizations imposing self-consistency has been pro-  
88 posed (Baran, 2012; Baran et al., 2014a,b; van Diedenhoven et al., 2014) in  
89 which essentially the same assumptions about hydrometeor properties are  
90 applied to the model-internal microphysical and radiative calculations. For  
91 instance, Baran et al. (2014b) established a parameterization of microphysi-  
92 cal ice crystal properties that preserves the physical consistency between the  
93 cloud physics and radiation schemes across a large range of wavelengths in  
94 climate model simulations. They pointed to the importance of the choice of  
95 the particle size distributions as well as the assumed shape mixtures. More-  
96 over, we like to emphasize that self-consistency should also be extended to  
97 model-external calculations that deal with the simulation of synthetic obser-  
98 vations using forward operators and with the derivation of cloud properties  
99 using observation-based retrieval algorithms. Within that line, Thompson  
100 et al. (2016) provided a recent study in which effective radii of cloud wa-  
101 ter, cloud ice, and snow were diagnosed based on assumptions in the model  
102 microphysics scheme. The authors could show that the subsequent use of  
103 consistently derived effective particle sizes in the model-internal radiation  
104 calculations and in the satellite forward operator can improve the agreement  
105 with observations.

106 Furthermore, the ice-microphysics parameterization faces the challenge  
107 that a distinction of frozen condensate in categories, e.g. ice, snow and  
108 graupel, with predefined characteristics is inherently artificial and often  
109 done without a strong theoretical or empirical basis (see e.g. Morrison and

110 Milbrandt, 2015, and discussion and references therein). Due to their im-  
111 portance on the global scale, shortcoming in the representation of cirrus-  
112 microphysical and radiative properties can lead to significant errors in weather  
113 forecasts and climate predictions (see e.g. Waliser et al., 2009). In addition,  
114 Waliser et al. (2009) discussed that difficulties in the interpretation of sim-  
115 ulated frozen hydrometeor variables can delay progress in model improve-  
116 ments, especially when suspended cloud ice is distinguished from precipi-  
117 tating particles and when observations or retrievals are highly sensitive to  
118 different parts of the hydrometeor size spectrum. This emphasizes the cen-  
119 tral point of our paper that a consistent description of hydrometeor radiative  
120 properties is needed across the full range of the electromagnetic spectrum,  
121 also in view of the synergistic utilization of future multi-sensor active and  
122 passive satellite observation (see e.g. Illingworth et al., 2015).

123 The primary goal of our study is to quantify and understand uncertain-  
124 ties in synthetic brightness temperature which arise from various assump-  
125 tions about microphysical properties of frozen hydrometeors and subgrid-  
126 scale cloud cover in realistic cloud scenes. We therefore introduce the Me-  
127 teosat and COSMO-DE data in Sect. 2. The simulation of cloud-affected  
128 radiances via the operational scheme as well as with the revised scheme is  
129 explained in Sect. 3. Systematic changes and sensitivities to perturbations  
130 of cloud properties are assessed in the results section 4. A discussion on  
131 the origin of emerging uncertainties and implication for the interpretation  
132 of model biases is examined in Sect. 5. Finally, conclusions are given in  
133 Sect. 6.

## 134 **2. Data**

### 135 *2.1. Infrared MSG SEVIRI data*

136 This study uses observational data and sensor characteristics of five in-  
137 frared channels of the imaging radiometer Spinning Enhanced Visible and  
138 Infrared Imager (SEVIRI) aboard the geostationary Meteosat Second Gen-  
139 eration (MSG) satellites operated by EUMETSAT (Schmetz et al., 2002).  
140 The studied channels are centered around 6.2, 7.3, 8.7, 10.8 and 12.0  $\mu\text{m}$   
141 and form a subset of all available SEVIRI channels comprising all together  
142 of 11 narrow-band and one broad-band high-resolution visible channel. We  
143 focus on data of the primary scan service, which has an orbital position at  
144 zero degree longitude and an image update cycle of 15 minutes. We con-  
145 centrate on the domain covered by the forecast model COSMO-DE, which  
146 is further described in the next section. The SEVIRI narrow-band channels  
147 have approximately a resolution of  $4 \times 6 \text{ km}^2$  in this domain which is coarser  
148 than the COSMO-DE grid size of  $2.8 \times 2.8 \text{ km}^2$  (see next subsection). Be-  
149 fore comparison with synthetic satellite images, SEVIRI observations are  
150 regridded onto COSMO-DE grid using nearest-neighbor interpolation.

151 The selected SEVIRI channels essentially fall into two categories: water  
152 vapor and window channels. In the 6.2 and 7.2  $\mu\text{m}$  channels, water vapor  
153 absorption and emission is strongly influencing the outgoing radiation. The  
154 transmissivity of a cloud-free atmosphere is lower in the 6.2  $\mu\text{m}$  channel  
155 leading to an increased effective emission altitude compared to the 7.3  $\mu\text{m}$   
156 channel. This altitude is around 9 and 7 km for the 6.2 and 7.3  $\mu\text{m}$  chan-  
157 nels, respectively, with a typical variation of 1 km in both channels due to  
158 variations in atmospheric temperature and moisture. Clouds affect the out-  
159 going thermal radiation at 6.2 and 7.3  $\mu\text{m}$ , if the cloud-top height is close

160 to or higher than the effective emission altitude. The other three channels,  
161 centered around 8.7, 10.8 and 12.0  $\mu\text{m}$ , fall into the window channel cate-  
162 gory. They are less affected by atmospheric gases and show the radiative  
163 signature of surface, clouds, aerosols or a combination of these. As the liq-  
164 uid and ice cloud emissivities are slightly different for all the three window  
165 channels, cross-channel brightness temperature differences (BTDs) carry in-  
166 formation about in-cloud microphysical properties such as cloud phase and  
167 ice crystal size (see e.g. Strabala et al., 1994; Pavolonis, 2010). This is fur-  
168 ther illustrated by Table 1. Typical penetration depths - calculated after  
169 Petty (2006) as the inverse of the apparent extinction coefficient  $\tilde{\beta}^{-1}$  (see  
170 Sect. 3.1 for more discussion on radiative properties) - for various homoge-  
171 neous cirrus clouds with a constant generalized effective diameter are listed  
172 there. Penetration depth is strongly decreasing with increasing cloud-optical  
173 depth which is for the considered cases linked to the particle size. The dif-  
174 ference in real and imaginary part of ice refractive index determines the  
175 difference in absorption and scattering properties at 8.7, 10.8 and 12.0  $\mu\text{m}$   
176 wavelength. Relative to the behavior at 10.8  $\mu\text{m}$ , the penetration depth at  
177 8.7  $\mu\text{m}$  (12.0  $\mu\text{m}$ ) is around 17 % larger (smaller) for low ice water content  
178 (IWC), leading to positive BTDs for the two channel combinations. This  
179 difference shrinks or even changes sign for increasing IWC. The difference  
180 in penetration depths is mainly caused by the difference in absorption cross  
181 sections per ice crystal which are also listed in Tab. 1. Absorption cross  
182 section increases about 20% going from 8.7 to 10.8 and further to 12.0  $\mu\text{m}$   
183 for smaller particles. In contrast, scattering cross sections for small ice par-  
184 ticles have lower values at 10.8  $\mu\text{m}$  whereas scattering is most pronounced  
185 at 8.7  $\mu\text{m}$ . The absorption and scattering behavior for the considered wave-  
186 lengths significantly changes for liquid cloud droplets. Furthermore, the

187 difference between BTs at 10.8 and 12.0  $\mu\text{m}$  for cloud-free situations can be  
188 related to the near-surface moisture content and is typically negative (see  
189 e.g. Chesters et al., 1983).

## 190 2.2. Convection forecasts from COSMO-DE

191 COSMO-DE is the operational short range weather forecast model of the  
192 German weather service (Baldauf et al., 2011). It is a convection-permitting  
193 non-hydrostatic numerical weather prediction model with a horizontal grid  
194 spacing of 2.8 km initialized each 3 hours running 21 hours ahead. The model  
195 domain covers Germany, Switzerland, Austria, and parts of neighboring  
196 European countries.

197 We utilize forecasts for 74 days in the years 2012 (25 days), 2013 (25  
198 days) and 2014 (24 days) with deep moist convection present in the domain  
199 of COSMO-DE. The convection days have been chosen as basis for our study  
200 mainly for two reasons. First, the cloud scenes are highly complex in convec-  
201 tive situations, which makes consistent radiative transfer calculations (even  
202 in the infrared) quite challenging. Deep convective clouds, precipitating and  
203 non-precipitating cirrus clouds as well as several other cloud types coexist  
204 comprising a size and shape mixture of a multitude of different hydrome-  
205 teors. Second, the performance of the forecast model as well as the rapid  
206 use of observational data is of special importance in convective situations.  
207 For the subjective case selection, MSG SEVIRI observations, severe weather  
208 reports found in media and news as well as ECMWF forecasts of convective  
209 instability and other related instability indices have been used. For four  
210 initialization times (3, 6, 9, 12 UTC), the six-hours ahead model forecasts  
211 were chosen and retrieved from the data archive, corresponding to the im-  
212 age time slots of 9, 12, 15 and 18 UTC. All together, this results in 296

213 scenes (more than 57 million profiles) for which synthetic satellite images  
214 have been calculated and which were taken as basis for the determination  
215 of image uncertainties.

216 One arbitrary example scene (5 July 2012 at 12 UTC / 13 LT) is shown  
217 in Fig. 1. Convective clouds are starting to develop in the southeastern part  
218 of the model domain identifiable by the spherically shaped, cold cores. Re-  
219 maining cirrus cloud cover is also visible in several parts of the domain. The  
220 forecast ice water path (IWP) and snow water path (SWP), additionally  
221 shown in Fig. 1b-c, share nearly the same spatial distribution. The high  
222 values of graupel water path (GWP) (in Fig. 1d) mainly confined to the  
223 convective cores. Graupel significantly less wide-spread than ice or snow.  
224 Around 74% of the domain is covered with clouds containing ice, 84% is  
225 covered with precipitating snow, but only 26 % with graupel. The median  
226 IWP and SWP over all cloudy parts is around  $8 \text{ gm}^{-2}$  for both categories.  
227 The total frozen water path (FWP) reaches domain-median values around  
228  $16 \text{ gm}^{-2}$ . More than 78% (69%) of the ice(snow)-containing cloud columns  
229 have a content less than  $30 \text{ gm}^{-2}$  or equivalently cloud-ice (snow) emissivi-  
230 ties smaller than around 0.87 (0.5) which illustrates that semi-transparency  
231 is a common situation in these convective scenes. Due to the wide range of  
232 cloud-optical thicknesses, a broad range of BT10.8s can be found for semi-  
233 transparent cirrus clouds, with cold BTs up to 220 K for thicker cirrus and  
234 BTs close to surface temperatures for very thin clouds. Furthermore it be-  
235 comes apparent, that all frozen hydrometeor categories (but mainly ice and  
236 snow) contribute to outgoing infrared radiation and should be taken into  
237 account, accordingly. Fig. 1 also shows the Meteosat observation of BT10.8  
238 and retrieved FWP for illustration. By comparison with the simulation, it  
239 is apparent that spatial distribution of convectively developing clouds, their

240 vertical extent and the stage in their convective life cycle is not perfectly  
241 represented by the model simulation. Furthermore, the residual cirrus cloud  
242 cover seems to be overestimated by the model. However, the simulated  
243 FWP-values appear in a plausible range considering the limited observa-  
244 tional sensitivity for lower as well as larger FWP-values (see e.g. Waliser  
245 et al., 2009, and references therein for some discussion on typical limitations  
246 of IWP retrievals).

### 247 *2.3. COSMO-DE ice microphysics*

248 For the parameterization of cloud microphysical processes, COSMO-DE  
249 operationally applies a one-moment scheme that predicts prognostically five  
250 hydrometeor classes by their mass fraction: cloud water, cloud ice, precipi-  
251 tating snow, rainwater and graupel (Baldauf et al., 2011). Cloud ice consists  
252 of small ice crystals suspended in air with no relevant motion relative to the  
253 flow of moist air. The distribution of cloud ice is assumed to be monodis-  
254 perse. COSMO-DE ice crystals are assumed to consist of small hexagonal  
255 plates. COSMO-DE snow is assumed to be exponentially distributed and  
256 made of aggregates or a dendrite-like habit. COSMO-DE graupel is also  
257 assumed to be exponentially distributed with lump graupel-like habit. Con-  
258 version processes between ice or snow and other hydrometeor classes and/or  
259 water vapor involve a multitude of processes, for instance heterogeneous  
260 nucleation of cloud ice, deposition growth and sublimation, riming and au-  
261 toconversion due to aggregation as well as melting and freezing. On the one  
262 hand, it seems to be obvious that the complexity of conversion processes  
263 demands simple enough assumptions on the size distributions and shapes  
264 or shape mixtures of the concerned hydrometeors. On the other hand, an  
265 accurate evaluation of microphysical processes is only possible if the same

266 assumptions on hydrometeor properties are consistently applied within the  
267 simulation of synthetic observations which are later used for evaluation.

268 In general, a subgrid-scale cloud cover parameterization estimates the  
269 fraction of cloud-covered area within a grid box, which is also connected to  
270 the fraction of cloudy air volume to the total grid box volume and henceforth  
271 to the in-cloud hydrometeor mass contents. Subgrid-scale cloud cover results  
272 from combined fluctuations of temperature and moisture / condensed water  
273 content due to turbulent or organized motion with spatial scales smaller than  
274 a model grid box (Sommeria and Deardorff, 1977). The fluctuations lead  
275 on the one side to partially sub-saturated air volumes in on average cloudy  
276 grid boxes, and on the other side to partially super-saturated air volumes in  
277 on average cloud-free grid boxes. COSMO-DE is applying a subgrid-scale  
278 cloud cover parameterization based on relative humidity and similar to the  
279 scheme of Sundqvist et al. (1989), which involves a comparison of the total  
280 water relative humidity against a so-called critical humidity threshold (see  
281 e.g. Quaas, 2012, for further discussion on critical humidity). In addition to  
282 the standard formulation, the COSMO-DE cloud cover is empirical corrected  
283 for ice clouds using a correction factor that is monotonically decreasing for  
284 decreasing specific frozen water content. The correction factor has been  
285 adjusted over time, and we are aware that systematic changes also have  
286 been made during our time period of interest (Görsdorf et al., 2011).

### 287 **3. Methods**

#### 288 *3.1. Cloudy radiances with RTTOV*

289 The radiative transfer model RTTOV (Saunders et al., 1999) is oper-  
290 ationally used by several national weather services to simulate synthetic



291 satellite images for numerical model forecasts (e.g. Slingo et al., 2004; Keil  
292 et al., 2006). The simulation of RTTOV ice-affected radiances is structured  
293 in several steps. In the first step, the macroscopic model variables represent-  
294 ing the ice water content (IWC) and temperature ( $T$ ) within one grid box  
295 are converted into microphysical properties of the cirrus clouds represented  
296 by a generalized effective diameter ( $D_{ge}$ ). In the next steps, ice-radiative  
297 properties are calculated from  $D_{ge}$  using relations presented by Fu (1996).  
298 An apparent extinction coefficient  $\tilde{\beta} = \beta_{abs} + b \beta_{sca}$  is calculated after Chou  
299 et al. (1999), where  $\beta_{abs}$ ,  $b$  and  $\beta_{sca}$  denote absorption coefficient, backscat-  
300 tering function, and scattering coefficient, respectively. Finally,  $\tilde{\beta}$  is provided  
301 to the radiative transfer code which simulates the cloud-affected radiances.

302 In the standard RTTOV code, the user can choose between four dif-  
303 ferent empirical relations for the IWC-to- $D_{ge}$  conversion. They have been  
304 derived from in-situ measurements of cirrus clouds in the past by several au-  
305 thors. For the calculation of radiative properties, the user can select one of  
306 two different ice crystal shapes, randomly-oriented hexagonal columns and  
307 aggregates. Please note, that these two intermediate steps involve assump-  
308 tions about the distributions, orientations and shapes of ice crystals that  
309 are typically diagnosed differently from the model microphysics.

### 310 *3.2. Operational SynSat*

311 The operational SynSat is a diagnostic tool that builds up an inter-  
312 face to RTTOV (version 9.3 for 2012, and version 10 later) implemented  
313 by Keil et al. (2006). It prepares COSMO-DE profiles of thermodynamic  
314 and hydrometeor variables as well as surface fields and simulates synthetic  
315 cloud-free and cloud-affected radiances of 8 infrared channels of MSG SE-  
316 VIRI. Three major limitations for the derivation of ice-affected radiances

317 are discussed below, further details about the current operational SynSat  
318 setup have been carefully collected by Eikenberg et al. (2015).

319 The operational SynSat has one main limitation that it can only handle  
320 one frozen hydrometeor category for which microphysical and radiative prop-  
321 erties are calculated. This leads to the question of how to combine cloud  
322 ice, precipitating snow and graupel for more consistent radiative transfer  
323 calculations and of how much radiative impact can be attributed to each  
324 simulated hydrometeor species. It has been recently argued by Kostka et al.  
325 (2014) that for the mixed frozen hydrometeor content, snow should only par-  
326 tially included to account for the reduced optical thickness of larger snow  
327 crystals. For instance, the authors suggested to account for only 10% of the  
328 snow mass in their visible-range radiative transfer calculations.

329 The second limitation of the operational SynSat concerns the relation-  
330 ship between hydrometeor mass content, effective particle size and shape,  
331 and radiative properties. The operational SynSat scheme uses the coeffi-  
332 cients of the base case of McFarquhar et al. (2003) (see their table 2) to  
333 convert the ice water content (IWC) that is actually the sum of cloud ice,  
334 snow and graupel mass content, into a typical crystal size, namely the gener-  
335 alized effective diameter  $D_{ge}$ . The McFarquhar bulk parameterization only  
336 incorporates the frozen hydrometeor mass content as predictor (i.e. no ex-  
337 plicit temperature dependence) and was derived from in-situ measurements  
338 of size and shape in tropical anvil clouds (see Fig. 2). Furthermore, the  
339 mixture of frozen condensate is assumed to have hexagonal shape for the  
340 calculation of radiative properties. The two assumptions about the particle  
341 size and shape are, however, partially inconsistent with the model-internal  
342 microphysical formulations.

343 As a last point, we like to address the subgrid-scale cloud cover parame-

344 terization ( $f$ ). The operational SynSat formulation already uses  $f$  from the  
345 model-internal broadband radiation scheme. It does however introduce an  
346 artificial threshold based on the snow mass fraction  $q_s$ , in which cloud cover  
347 is set to one for  $q_s > 10^{-7} \text{ kg kg}^{-1}$ . This procedure strongly enhances the  
348 longwave radiative effect of precipitating snow and all other hydrometeors  
349 within the affected grid box.

### 350 *3.3. Revised SynSat scheme*

351 For our study, we use RTTOV version 11.2 to simulate synthetic images  
352 with the sensor characteristics of MSG SEVIRI. We propose a revised Syn-  
353 Sat scheme, and therefore perform two basic modifications in the SynSat  
354 interface. Both aim to increase consistency with model-internal formula-  
355 tions of considered processes. The first adjusts the subgrid-scale cloud cover  
356 in the satellite forward operator to fully match the formulation in the model  
357 radiation scheme, and the second is concerned with the derivation of an  
358 effective crystal size based on model microphysics.

359 First concerning subgrid-scale cloud cover, the operational scheme intro-  
360 duces an artificial threshold and henceforth an increased radiative impact of  
361 precipitating snow. However, it seems to be physically plausible that also  
362 the snow category exhibits a subgrid-scale structure where the grid box area  
363 occupied by snow is also determined by the same subgrid-scale cloud-cover  
364 value. We therefore propose to use the basic cloud cover variable with-  
365 out any threshold to ensure consistency with the model-internal broadband  
366 radiation scheme.

367 Second, we propose to replace the operationally-used IWC-to- $D_{ge}$  con-  
368 version scheme with one more consistent with the COSMO-DE microphysics.  
369 Therefore, the generalized effective diameters of the frozen hydrometeor cat-

370 egories ice ( $D_{ge,i}$ ), snow ( $D_{ge,s}$ ) and graupel ( $D_{ge,g}$ ) have been directly di-  
 371 agnosed from the model assumptions. Details on this calculation are given  
 372 in Appendix A. A comparison of occurrence frequencies of the generalized  
 373 effective diameters of ice  $D_{ge,i}$ , snow  $D_{ge,s}$  and graupel ( $D_{ge,g}$ ) to  $D_{ge}$  cal-  
 374 culated from the McFarquhar base case is shown in Fig. 3 for all categories,  
 375 separately. The histograms are constructed from all selected 296 COSMO-  
 376 DE scenes. The spread in ice and snow occurrence frequencies is due to the  
 377 assumed dependence of the respective particle size distribution on temper-  
 378 ature (see Appendix A). COSMO-DE ice is appearing in a much broader  
 379 range of particle sizes with significant occurrence frequencies between 0 and  
 380 80  $\mu\text{m}$ . The highest frequencies in ice particle size occur at the right edge  
 381 of the populated histogram area at which COSMO-DE ice exists at cold  
 382 temperatures around 210 K and has smaller particle sizes than predicted by  
 383 the McFarquhar scheme. The accumulation of extremely small crystal sizes  
 384 might be physically unrealistic and can be a consequence of an overestimated  
 385 sensitivity of particle size to temperature. As consequence, these unrealis-  
 386 tically small ice crystals will lead to artificially low brightness temperatures  
 387 for these clouds by increasing the ice-cloud optical depth. The distribution  
 388 of COSMO-DE snow is generally shifted towards larger particle sizes. For  
 389 instance, a McFarquhar-based  $D_{ge,s}$  of 20  $\mu\text{m}$  corresponds to a COSMO-DE  
 390 snow diameter between 70 to 80  $\mu\text{m}$  giving a factor of up to four in increase  
 391 of diagnosed particle size. The COSMO-DE graupel size does not depend  
 392 on temperature, therefore  $D_{ge,g}$  and the McFarquhar- $D_{ge}$  fall onto one line.  
 393 The estimated graupel particle size is significantly larger than  $D_{ge}$  based on  
 394 the McFarquhar scheme. The relative occurrence frequencies of COSMO-  
 395 DE ice, snow and graupel particle sizes are shown in Fig. 3d. The maximum  
 396 frequencies appear at 15, 80 and 50  $\mu\text{m}$  diameter of ice, snow and graupel,

397 respectively. There is significant overlap between sizes of the different cate-  
 398 gories, especially in the range between 50 and 100  $\mu\text{m}$ . Figs. 3e-f show the  
 399 distributions of the water path of all the individual categories, as well as  
 400 their relative contribution to the total frozen water path. IWP and SWP  
 401 peak around  $10 \text{ gm}^{-2}$ , GWP has a broad maximum close to  $1 \text{ gm}^{-2}$ . The  
 402 IWP distribution is more narrow than the SWP distribution and approaches  
 403 zero at around  $100 \text{ gm}^{-2}$ . SWP and total frozen water path FWP are very  
 404 similarly distributed. Snow is dominating FWP for small and large FWP-  
 405 values. Between 1 and  $100 \text{ gm}^{-2}$ , IWP reaches comparable magnitudes.  
 406 The contribution of graupel to the total frozen water path is negligible.

407 The radiative properties of ice  $\beta_{abs,i}$ ,  $\beta_{sca,i}$  and  $b_i$  and snow  $\beta_{abs,s}$ ,  $\beta_{sca,s}$   
 408 and  $b_s$  have been calculated using the internal RTTOV fit relations and pa-  
 409 rameters. COSMO-DE ice is considered as hexagonally shaped and COSMO-  
 410 DE snow as aggregates. Please note, that this step is the weakest point in our  
 411 revised scheme as it still relies on RTTOV empirical relations which presume  
 412 a certain shape and particle size distribution for the considered hydromete-  
 413 ors. For extrapolation of optical properties, the geometric optics limit was  
 414 applied for  $D_{ge} > 118 \mu\text{m}$ . For small  $D_{ge} < 12 \mu\text{m}$ ,  $D_{ge}$  was simply set to  
 415  $12 \mu\text{m}$ . The absorption and scattering coefficients of COSMO-DE graupel  
 416 have been separately calculated based on so-called Mie theory (Goody and  
 417 Yung, 1989, p.315ff.) assuming that spherical shape is a sufficient assump-  
 418 tion for graupel particles. Please note that all later results are essentially  
 419 unaffected by a potential exclusion of graupel from infrared radiative trans-  
 420 fer. The radiative properties of the different categories, including the liquid,  
 421 have been added using standard mixing rules (see e.g. Baum et al., 2011,  
 422 Eqns. (B1), (B2) and (B8)). This strategy solves the problem of mixing  
 423 different frozen hydrometeor categories on a basic level which is however

424 only possible since RTTOV version 11. This version supplies a newly imple-  
425 mented interface (RTTOV method 2) which allows for user-defined radiative  
426 properties. We also developed an approximate method for category mixing  
427 using older RTTOV versions which is explained in Appendix B.

428 Subgrid-scale liquid cloud hydrometeor contributions have been ignored  
429 for simplicity which will affect the warmer portion of the BT10.8 spec-  
430 trum. Radiative contributions of rain have also been neglected which have  
431 a relatively small impact on the simulated infrared brightness temperatures,  
432 but will be more important for longer wavelengths, e.g. in the microwave  
433 range. In addition, infrared surface emissivities from the monthly-mean  
434 University of Wisconsin Global Infrared Land Surface Emissivity Database  
435 (UWIREMIS) have been used within RTTOV for an improved representa-  
436 tion of surface characteristics (see Vogel et al., 2011, and references therein).

### 437 3.4. Sensitivity setups

438 The revised scheme is investigated for its sensitivities to perturbations in  
439 subgrid-scale cloud cover  $f$  and crystal size  $D_{ge}$ . Both parameters can show  
440 large natural variability depending sensitively on the local thermodynamic  
441 state and on the pathway of realized cloud processes.

442 In the following, we define perturbations of cloud cover  $\delta f$  and effective  
443 particle size  $\delta D_{ge}$  based on physically plausible relative changes, which are  
444 subsequently used to quantify the sensitivity of simulated BTs to the pa-  
445 rameterization of both quantities. Radiative transfer calculations are inde-  
446 pendently carried out with different perturbed parameter sets and compared  
447 to a reference calculation without perturbation. For instance, BT10.8 fields  
448  $T_{10.8}^{(+\delta f)}$  and  $T_{10.8}^{(-\delta f)}$  are obtained for perturbations in cloud cover  $f + \delta f$  and

449  $f - \delta f$ , respectively, and the resulting sensitivity is defined as

$$\Delta T_{10.8}^{\delta f} = \sqrt{\frac{1}{2} \left( \left( T_{10.8}^{(+\delta f)} - T_{10.8}^{(*)} \right)^2 + \left( T_{10.8}^{(-\delta f)} - T_{10.8}^{(*)} \right)^2 \right)} \quad (1)$$

450 where  $T_{10.8}^{(*)}$  denotes the BT10.8 of the reference simulation. A similar defi-  
 451 nition is applied to obtain the sensitivity to  $D_{ge}$ -perturbations. Uncertainty  
 452 in the clear-sky part of the infrared radiation is not considered. Multi-  
 453 scattering effects due to infrared horizontal photon transport have also been  
 454 neglected in our current approach, even though they might become impor-  
 455 tant for the estimation of longwave heating rates on scales of one kilometre  
 456 or less (see Klinger and Mayer, 2016, for recent parameterization develop-  
 457 ment).  $\delta f$  and  $\delta D_{ge}$  are estimated as follows.

458 We first use the observed uncertainties in the so-called critical relative  
 459 humidity threshold to estimate a typical magnitude of cloud cover pertur-  
 460 bations  $\delta f$ . Quaas (2012) discussed that subgrid-scale cloud cover  $f$  is usu-  
 461 ally parameterized by comparing the grid box-averaged total water relative  
 462 humidity to a critical relative humidity profile  $r_c$ , which is meaningfully  
 463 chosen or empirically determined. A similar approach has been applied in  
 464 COSMO-DE (see Sect. 2.3). Quaas (2012) compared several observation-  
 465 based estimates and model implementations, and showed that a significant  
 466 spread exists between all of them. Based on his Fig. 4, we infer that typical  
 467  $r_c$  values are around 0.4 in the middle to upper troposphere, with a variation  
 468  $\delta r_c$  of 0.1 at spatial scales of several hundred kilometers. Quaas (2012) fur-  
 469 ther discussed the mathematical link between  $f$  and  $r_c$ . Assuming a simple  
 470 uniform subgrid-scale probability distribution of total water specific humid-  
 471 ity, the variations in cloud cover are given by (based on eq. (1) of Quaas

472 (2012))

$$\frac{\delta f}{1-f} = -\frac{1}{2} \frac{\delta r_c}{1-r_c}. \quad (2)$$

473 The pre-factor  $1/2$  depends on the assumed shape of the probability den-  
474 sity distribution and increases, for instance, to  $2/3$  if a triangular shape is  
475 considered (see eq. (4) of Quaas (2012)). By applying the  $r_c$ -calculation to  
476 the COSMO-DE fields, median values of  $r_c$  between 0.85 and 0.9 have been  
477 found due to the much higher spatial resolution and the larger amount of  
478 resolved water vapor variability. Assuming that  $\delta r_c \approx 0.05$  at these scales, a  
479 resulting  $(1-f)$ -perturbation between 15 and 25% is estimated from eq. (2).  
480 For our sensitivity setup,  $\delta f$  is henceforth set to  $0.2(1-f)$ , i.e. 20% relative  
481 perturbation of clear-sky fraction.  $f$ -values beyond the range of 0 and 1 are  
482 set back to the interval bounds.

483 For the second sensitivity setup, we estimate the magnitude of typical  
484 crystal size perturbations. We refer back to Fig. 2 as visualization of the  
485 IWC- $D_{ge}$  relation analyzed by McFarquhar et al. (2003). For instance, an  
486 ice water content of  $0.5 \text{ gm}^{-3}$  leads to a generalized effective diameter of  
487  $100 \mu\text{m}$  with a local penetration depth of around 200 m and an infrared ap-  
488 parent optical thickness of 5.7 assuming a 1-km thick cirrus cloud (see Tab.  
489 1). The  $\pm\sigma$  and  $\pm 2\sigma$  intervals in Fig. 2 can be seen as representative for the  
490 uncertainty in fitted parameters, and visualize the typical spread in observa-  
491 tional microphysical data. As indicated by error bars, the typical spread for  
492 the considered mass content is around  $20 \mu\text{m}$ , i.e. 20 % relative deviations  
493 due to intrinsic uncertainties in the bulk parameterization. Based thereon,  
494 we apply  $\delta D_{ge} = 0.2 D_{ge}$  within two perturbed simulations for sensitivity  
495 study. For simplification, effective particle size of all frozen condensate cat-  
496 egories, ice, snow and graupel, are collectively shifted at once by an amount



497 of  $\delta D_{ge}$  depending on the local condensate size. The  $D_{ge} \pm \delta D_{ge}$  is inserted  
498 in the calculation of radiative hydrometeor properties, however, keeping the  
499 average particle mass fixed (see appendix Appendix C for more details).

## 500 **4. Uncertainties in synthetic brightness temperatures**

### 501 *4.1. Impact of systematic changes*

502 The consequences of the revised cloud cover formulation can be seen  
503 in Fig. 4(a) and 4(d) for the same example as in Fig. 1. The change in  
504 BT10.8 is mainly positive throughout the domain, and values easily exceed  
505 10 K for semi-transparent cirrus clouds. The occurrence frequency bias  
506 of BT10.8 with revised cloud cover relative to the operational setting is  
507 shown in Fig. 5(a). For the scene in Fig. 4, we see that the warmer BTs  
508 due to cloud-cover changes mainly affect the relative occurrence frequencies  
509 around 220 K and 280 K, where a maximum reduction of 60% is found for  
510 the former and an increase of around 50% for the latter. For all considered  
511 cases, the maximum relative reduction appears in a broader range between  
512 220 and 240 K with scene-to-scene median values between 5 to 20%. The  
513 scene-to-scene inter-quartile range shows that more than 50% reduction of  
514 values around 220 K is obtained for at least one quarter of the case set. The  
515 reduction of cold BTs is partially compensated by an increase of warmer  
516 BTs between 280 and 300 K. For the frequency bias relative to the observed  
517 BT10.8 distribution (shown in Fig. 5(d)), we recognize that the cloud-cover  
518 change leads to a significant reduction of the cold bias around 230 K which  
519 is discussed in more detail in Sect. 5.

520 In addition, the effect of the revised crystal size formulation can be  
521 seen in Fig. 4(b) and 4(e) for the operational, and in Fig. 4(c) and 4(f)

522 for the full revision of the SynSat algorithm, respectively. The picture of  
 523 BT10.8 changes is more indifferent and changes can be positive as well as  
 524 negative. The highest sensitivity for changes in BT10.8 appears to be in a  
 525 small BT range around 220 K. A median reduction of the BT10.8 frequencies  
 526 around 220 K up to 40% relative to the operational setting occurs for the  
 527 revised particle size diagnostics (see Fig. 5(b)) which might be a result of the  
 528 pronounced increase in snow effective diameters. This reduction also acts to  
 529 reduce the cold bias relative to the observation around 230 K (see Fig. 5(e)),  
 530 however less strongly than the cold-cover change. Finally, the combination  
 531 of revised cloud cover and particle size diagnostics gives an overall reduction  
 532 of cold BT occurrence frequencies of up to 50% relative to the operational  
 533 setting and an compensating increase in warmer BTs of around 10%.

#### 534 *4.2. Sensitivities due to cloud cover perturbations*

535 Relative perturbations of 20% of the subgrid-scale cloud free part have  
 536 been applied to the simulation the synthetic radiances using our revised  
 537 scheme. Fig. 6a shows the resulting standard deviation of the BT10.8 defined  
 538 by eq. (1) for the example scene introduced in Fig. 1. The BT variations  
 539 can be as large as 4 K and mainly appear for semi-transparent clouds. The  
 540 systematic changes by introducing modifications in cloud cover and crys-  
 541 tal size by our revised scheme can, however, introduce significantly larger  
 542 changes in BT10.8 for the given example scene.

543 The occurrence frequencies of BT deviations due to cloud cover pertur-  
 544 bations conditioned on the average BTs are shown in Fig. 7a-c for 3 MSG  
 545 SEVIRI channels at 6.2, 7.3 and 10.8  $\mu\text{m}$  and in Fig. 7d-e for the window-  
 546 channel differences between 10.8 and 12.0  $\mu\text{m}$  as well as 8.7 and 10.8  $\mu\text{m}$   
 547 for all 296 COSMO-DE scenes. The sensitivity is largest for intermediate

548 BTs. The conditioned 25-th, 50-th and 75-th percentiles of the occurrence  
 549 of BT deviations are also plotted in Fig. 7. With less than 0.5 K, the median  
 550 curves show smaller maximum values for the water vapor channels than for  
 551 the infrared window channels. Therefore, the sensitivities are in the same  
 552 order of or less than the RTTOV accuracy itself (Matricardi et al., 2004) for  
 553 these channels and might play a minor role. In addition, occurrence frequen-  
 554 cies of the BT deviation conditioned on intervals of total frozen water path  
 555 are given in Fig. 8. For cloud cover perturbations, the maximum BT uncer-  
 556 tainties occur around  $10 \text{ gm}^{-3}$  for viewing zenith angles typical for Central  
 557 Europe and decrease back to zero for smaller as well as larger FWP values.  
 558 The individual perturbations of the 3 window-channel BTs share very sim-  
 559 ilar distributions (not shown), because fractional subgrid-scale cloud cover  
 560 reduces the effective cloud emissivity by an equal fraction for the different  
 561 wave lengths. The resulting compensation leads to relatively small BTD  
 562 deviations.

### 563 *4.3. Sensitivities due to crystal size perturbations*

564 The effective crystal sizes of ice  $D_{ge,i}$  and snow  $D_{ge,s}$  have been collec-  
 565 tively varied by  $\pm 20 \%$  before radiative properties and henceforth synthetic  
 566 infrared brightness temperatures have been simulated. The resulting BT10.8  
 567 deviations (see Fig. 6b) reach maximum values between 4 and 5 K in semi-  
 568 transparent cirrus clouds and leading to ring like structures around convec-  
 569 tive cores. There are mainly two effects that contribute to BT variations.  
 570 First, for thinner semi-transparent cloud layers, the temperature is approxi-  
 571 mately constant across the layer, hence the whole cloud emits with a nearly  
 572 constant blackbody temperature. The outgoing radiation is a mixture of the  
 573 thermal radiation of the cloud itself and the partially attenuated incident

574 radiation from below. It is termed here as the clear-sky contribution for  
575 simplification. Changes in the ice particle size lead to changes in the cloud  
576 optical thickness and transmissivity, thus modifying the weighting between  
577 the cloudy and clear-sky part. The amplitude of BT variations is propor-  
578 tional to the contrast between the cloudy and clear-sky radiances, which is  
579 a measure of thermal radiation loss by ice clouds. It is generally larger for  
580 atmospheric window channels compared to the water vapor channels.

581 The second effect is connected to the temperature gradient within the  
582 cloud layer, and is much less important than the first effect mentioned above.  
583 For opaque clouds, the upwelling radiation above the cloud is a mixture of  
584 the radiation coming from the top layers. The measured brightness temper-  
585 ature seems to originate from an emission layer located approximately one  
586 penetration depth below the cloud top (see Tab. 1). Perturbations in the  
587 particle size thus lead to variations in penetration depth and in the emitted  
588 radiance.

589 The occurrence frequencies of BT deviations due to ice crystal pertur-  
590 bations conditioned on the occurrence of an average BT are also depicted in  
591 Fig. 7 for 3 MSG SEVIRI channels and two window-channel differences. For  
592 coldest and warmest average BTs, the uncertainty approaches small values.  
593 The coldest BTs are found in convective cores, which are optically thick  
594 and thus insensitive to perturbations in the particle size. In contrast, the  
595 warmest BTs are not or only very weakly affected by the thermal radiation  
596 of highly transparent cirrus clouds. In this situation with high surface or  
597 low cloud emission, perturbations in the ice radiative properties changes the  
598 BTs only marginally. The median BT deviations due to crystal size pertur-  
599 bations are typically a factor two to three larger than the ones due to cloud  
600 cover perturbations. The given distributions are slightly skewed to warmer

601 average BTs. For BTDs shown in Fig. 7i-j, the uncertainty increases towards  
602 more positive BTDs which occur for smaller particle sizes and/or lower cir-  
603 rus optical thickness (see discussion at Sect. 2.1). Largest deviations of BTD  
604 are around 1 K. The occurrence frequencies of deviations of BT conditioned  
605 on total frozen water path (see Fig. 8b) show the maximum BT sensitivity  
606 shifted to values around  $30 \text{ gm}^{-3}$  for Central European viewing geometry.  
607 The highest sensitivity of BTDs occurs close to  $10 \text{ gm}^{-3}$  with median de-  
608 viations around 0.5 K, this means the BTDs are more sensitive to thinner  
609 cirrus cloud than the BTs itself. For large FWPs, cloud optical thickness is  
610 larger and clouds are essentially opaque. The apparent BT deviations are  
611 then mainly determined by variations in the penetration depth as discussed  
612 above.

## 613 **5. Implications for evaluation of cloud microphysics**

### 614 *5.1. From model inconsistencies to uncertainties*

615 The lack of knowledge and consistency in the different descriptions, and  
616 thus different stages of approximation, of hydrometeor properties in differ-  
617 ent parts of the numerical model causes uncertainties in derived synthetic  
618 observations. We have chosen COSMO-DE forecasts as an example, but the  
619 problem of intrinsic model inconsistencies of interacting subgrid-scale pro-  
620 cesses is a general issue for numerical forecast models. Model inconsistencies  
621 can cause fundamental conflicts which cannot be easily reconciled.

622 In recent years, growing interest has been directed towards this problem  
623 of inconsistencies. For instance, Baran (2012) stated that a “fundamental  
624 problem with the traditional approach is that it does not directly couple  
625 cloud physics and radiative parameterizations. This situation is physically

626 unsatisfying.” More recently van Diedenhoven et al. (2014) argued that  
627 “Selfconsistency within a model dictates that the same ice volume, area,  
628 and aspect ratio used in an ice microphysics scheme should also be used  
629 in a model’s radiative transfer scheme”. We want to extend the list. It is  
630 also important to employ similar formulations of microphysical and radiative  
631 properties between the forecast model and the so-called forward operators.  
632 These simulate synthetic observations, which can be used to constrain the  
633 model via data assimilation or to evaluate the model performance in obser-  
634 vation space.

635 To address the problem of intrinsic model inconsistencies in the future,  
636 we emphasize that special attention should be paid to variables which induce  
637 significant sensitivities in synthetic observations, for instance crystal size,  
638 shape and orientation. In the reformulation of the subgrid-scale processes  
639 these variables should be consistently incorporated in all relevant processes  
640 in a way that the model state vector and temporal evolution, i.e. the prog-  
641 nostic tendencies, fully depend on them (see e.g. Baran et al., 2014a,b, for  
642 recent development). This procedure strongly constrains these variables and  
643 reduces associated ambiguities, a progress that would be highly beneficial for  
644 applications like data assimilation or forecast verification even if the actual  
645 model skill might be partially degraded at first.

## 646 *5.2. Interpretation of model biases*

647 A specific application of our sensitivity analysis of ice-affected BTs is  
648 discussed next. COSMO-DE has a known deficit concerning cold cloud  
649 cover termed cold bias (Pfeifer et al., 2010; Böhme et al., 2011). The cold  
650 bias shows up as an overestimation of occurrence frequencies of synthetic  
651  $10.8 \mu\text{m}$  BTs in the range between 240 and 220 K, as well as an underes-

652 timation of the former in the range between 250 and 290 K. It has been  
653 discussed by Eikenberg et al. (2015) that the cold bias is related to possible  
654 deficits in the parameterization of heterogeneous ice nucleation and cloud  
655 ice sedimentation.

656 For our set of convective scenes, the median occurrence frequencies of  
657 BT10.8 are shown in Fig. 9 for the observation, the operationally gener-  
658 ated synthetic BTs, and for the BTs from our revised setup. The histogram  
659 based on the observation has a maximum between 280 and 290 K and then  
660 decreases to colder BTs relatively monotonically. All synthetic BT his-  
661 tograms show a slight shift of the major maximum to warmer BTs, and a  
662 pronounced secondary peak around 225 K. In terms of deviations relative to  
663 the observed frequencies, the median overestimation of the secondary peak  
664 is around  $167 \pm 20\%$  for the operational synthetic BTs, where the inter-  
665 val gives an uncertainty estimate of the average due to the scene-to-scene  
666 variability. The underestimation of operationally-determined BT occurrence  
667 frequencies is maximal around 265 K with a relative magnitude of  $-35 \pm 3\%$ .  
668 For the recalculated synthetic BTs, the secondary peak slightly shifts from  
669 226 to 229 K and the median overestimation of the secondary peak magni-  
670 tude decreases to  $63 \pm 13\%$  relative to the observed frequencies. When the  
671 differences between the absolute frequencies between the operational Syn-  
672 Sat secondary peak and the observation are compared to the reduced peak  
673 magnitude from the revised scheme, we identify that the absolute difference  
674 reduces by around 50 %.

675 In general, the secondary peak magnitude is sensitive to systematic  
676 model-internal changes in the subgrid-scale cloud cover. For instance, a  
677 switch in parameters that determine COSMO-DE subgrid-scale cloud cover  
678 took place after the summer season in 2012. Afterwards, higher  $f$ -values

679 were predicted for similar saturation conditions. This change in model-  
680 internal cloud-cover parameterization should have significant influence on  
681 the simulated satellite imagery which is the case for our revised scheme.  
682 The operational SynSat implementation, however, does not show this sen-  
683 sitivity due to an insufficient coupling between model-internal and satellite  
684 forward operator assumptions. Based on our revised BT calculations, we  
685 can identify that the change in cloud cover lead to a significant degradation  
686 of model skill in terms of simulated cold BTs. A smaller overestimation of  
687 the peak of  $18 \pm 15\%$  in 2012 drastically increased to  $126 \pm 20\%$  for the years  
688 2013 and 2014. Such a significant change is not observable in the operational  
689 BTs. Fig. 9 also shows the range between median occurrence frequencies of  
690 the  $\pm 20\%$  particle size perturbations. The impact is generally small and  
691 largest in the BT-range of the secondary peak. The overestimation relative  
692 to the observation is 41% and 102% for particle size perturbations of 20%  
693 and  $-20\%$ , respectively. When the absolute deviation between the simulated  
694 frequencies at the secondary peak are compared to the observation, we find  
695 that the absolute overestimation reduces to 35% and 70% for particle size  
696 perturbations of 20% and  $-20\%$ . This leads us to the conclusion that 30%  
697 to 65% of the cold bias can be attributed to the radiative representation of  
698 cirrus clouds for the considered set of forecasts.

699 In addition, Fig. 9 shows the occurrence frequencies of the cross-channel  
700 BTDs between  $10.8$  and  $12.0 \mu\text{m}$  as well as  $8.7$  and  $10.8 \mu\text{m}$ . Both infrared  
701 channel differences carry information about the hydrometeor phase, shape  
702 and size (see e.g. Sect. 2.1 as well as Yang et al., 2015, and references  
703 therein). The observed  $(T_{10.8} - T_{12.0})$ -frequencies range from  $-1$  to  $7$  K,  
704 with a single broad peak between  $1$  and  $2$  K induced by radiative contri-  
705 butions of clear-sky and cloudy radiances (see also Fig. 10). The observed



706 scene-to-scene variability is large for intermediate values. The simulated  
707  $(T_{10.8} - T_{12.0})$ -frequencies show a steeper increase at the left tail of the dis-  
708 tribution, a less steep decay at the right tail and an underestimation of the  
709 occurrence frequencies in the major peak region between 0.5 and 3 K. The  
710 operational and the recalculated BTDS behave differently at the right tail.  
711 For the recalculated BTDS, the occurrence frequencies of  $(T_{10.8} - T_{12.0}) > 4$  K  
712 are strongly overestimated as a result of a revised and more consistent rep-  
713 resentation of the radiative impact of COSMO-DE ice-microphysics.

714 A similar comparison is performed for the normalized occurrence fre-  
715 quencies of  $T_{8.7} - T_{10.8}$  in Fig 9. The observation shows a distribution func-  
716 tion with a double peak: the primary peak is close to  $-3$  K, and the sec-  
717 ondary one occurs at around 0.5 K. The secondary peak is overestimated for  
718 the operational simulations. The position of the steep left distribution tail is  
719 shifted towards greater BTDS in all simulations which might be connected  
720 to a misrepresentation of the model boundary layer or land surface emis-  
721 sivity differences. The occurrence frequencies at the right tail are strongly  
722 overestimated in the two simulations, a deficit that becomes much clearer  
723 for the recalculated BTDS. Furthermore, the overall representation of the  
724 BTDS distribution is worse for the years 2013 and 2014 compared to 2012  
725 as a result of changes in the cloud cover parameterization (not shown).

726 Finally, Fig. 9 contains an additional sensitivity setup based on varia-  
727 tions of the crystal habit. As outlined in Appendix B, we implemented an  
728 approximate category mixing in which a generalized effective diameter of the  
729 mixture is calculated based on the cross-channel averaged apparent extinc-  
730 tion behavior. The strategy was intentionally developed to improve repre-  
731 sentation of different frozen hydrometeor categories within the one-category  
732 interface of older RTTOV versions ( $< v11$ ). It is, however, useful to test

733 the radiative effect of different hydrometeor shapes, which is termed habit  
 734 variation in the following. Two different shapes have been tested. First, an  
 735 approximate  $D_{ge,mix}$  has been calculated for hexagonal ice crystals based on  
 736 eq. (B.4) and used together with the RTTOV radiative properties of hexag-  
 737 onal shapes. Second,  $D_{ge,mix}$  has been calculated based on snow aggregates  
 738 and then used together with the RTTOV radiative properties of random  
 739 aggregates. As expected and seen in Fig. 9, the two simulations based on  
 740 different shapes show very similar occurrence frequencies for  $10.8 \mu\text{m}$ . The  
 741 window-channel BTDs are, however, very sensitive to the assumed shape  
 742 and especially the right tails of the two BTD distributions as well as the  
 743 secondary peak for the  $(T_{8.7} - T_{10.8})$ -frequencies are extremely sensitive to  
 744 changes in the crystal habit. For the investigated channel differences, the  
 745 sensitivity to crystal habit is much larger than the sensitivity to crystal  
 746 size perturbations. This situation suggests that the representation of large  
 747 BTDs (right tail) can be improved if COSMO-DE ice is partially converted  
 748 to precipitating snow.

749 To shed more light on the origin of the functional form of the histograms,  
 750 the respective contribution of several FWP categories to the total occur-  
 751 rence frequencies are shown with the help of stacked histograms in Fig. 10.  
 752 Three different simulation setups are compared. The first is our reference  
 753 setup in which COSMO-DE ice is considered as hexagonally shaped and  
 754 COSMO-DE snow as aggregates, and the mixing and combination of ra-  
 755 diative properties is applied in advance of RTTOV radiative transfer. The  
 756 second and third consist of approximate mixtures which are either pure  
 757 hexagonal or pure aggregates. For simulated  $(T_{10.8} - T_{12.0})$ -frequencies, the  
 758 ice-free part ( $\text{FWP} = 0$ ) has a broad maximum centered around 2 K (see  
 759 Fig. 10). The positive BTDs mainly result from the different sensitivities

760 of the two channels to lower tropospheric humidity. The 12.0  $\mu\text{m}$  channel  
761 is slightly more affected by water vapor absorption, leading to an increased  
762 effective emission altitude, and thus colder emission temperatures compared  
763 to the 10.8  $\mu\text{m}$  channel (Schmetz et al., 2002). For  $T_{8.7} - T_{10.8}$ , the part  
764 with FWP = 0 is much narrower and centered around  $-2.5$  K, which is also  
765 caused by greater atmospheric emission altitudes of the 8.7  $\mu\text{m}$  channel.  
766 The ice-affected occurrence frequencies are very similar between our refer-  
767 ence setup and the pure hexagonal setup. This illustrates that hexagonal  
768 ice is dominating the radiative footprint of our reference. Semi-transparent  
769 ice strongly contributes to the tails of the simulated distributions, whereas  
770 semi-transparent snow behaves more comparable to the part with FWP = 0.  
771 For  $(T_{10.8} - T_{12.0})$ , thick ice mainly contributes to the left tail populating  
772 a broad range between 0 and 2 K. Thick snow aggregates lead to a very  
773 unrealistic peak around  $(T_{10.8} - T_{12.0}) \approx 0$  K. For  $T_{8.7} - T_{10.8}$ , the location  
774 and magnitude of the secondary peak is mainly determined by contributions  
775 from larger FWP, thus thicker cirrus clouds. This therefore illustrates that  
776 even if the microphysical information in Meteosat SEVIRI images is limited,  
777 a careful evaluation of consistently derived BTD can help to point to deficits  
778 in the microphysical representation of a numerical model.

## 779 **6. Conclusions**

780 Uncertainties in ice-affected synthetic brightness temperatures are the  
781 focus of our investigation. In general, satellite images observed by imag-  
782 ing radiometers do have important applications in data assimilation and  
783 model evaluation. They have been used to characterize the representation  
784 of simulated cloudiness and the diurnal change in cloud radiative properties.

785 As cloud microphysical parameterizations in regional numerical models be-  
786 come increasingly more complex, a consistent treatment of microphysical  
787 hydrometeor properties within model-internal parts and in the satellite for-  
788 ward operators gains importance.

789 We therefore study the impact of systematic changes in frozen hydrome-  
790 teor radiative properties towards increased consistency on the simulation  
791 of synthetic brightness temperatures of the imaging radiometer SEVIRI  
792 aboard Meteosat satellites. Numerical forecasts from the German regional  
793 weather model COSMO-DE have been selected for 74 summer convection  
794 days. Synthetic satellite images have been simulated using the fast radiative  
795 transfer model RTTOV and a revised scheme for the calculation of frozen-  
796 hydrometeor radiative properties. In a first step towards more consistency,  
797 subgrid-scale fractional cloud cover is adjusted, and the generalized effective  
798 diameters of the frozen hydrometeor categories ice, snow and graupel are  
799 calculated based on model microphysics. Second, the radiative cloud prop-  
800 erties are derived for the frozen condensate categories separately, assuming  
801 hexagonal shapes for ice, random aggregates for snow and spherical shape  
802 for graupel. The category mixing is applied to the different cloud radiative  
803 properties, and the resulting mixture is input to RTTOV. This procedure  
804 enables a much closer match to the model-internal microphysical formula-  
805 tion than previously applied empirical relations with important implications  
806 for model evaluation.

807 Based on a comparison between operational synthetic brightness temper-  
808 atures and ones recalculated with our revised scheme, we show that system-  
809 atic changes in subgrid-scale cloud cover and crystal size induce changes up  
810 to 10 K for ice-affected window channel brightness temperatures. The BT  
811 changes are most pronounced for semi-transparent cirrus clouds. Further-

812 more to represent uncertainties in the cloud parameterizations, we study the  
813 sensitivity of infrared brightness temperatures to meaningful perturbations  
814 in cloud cover and crystal size. Therefore, relative perturbation of 20% in  
815 the subgrid-scale portion of cloud-free parts and 20% relative perturbation in  
816 crystal size are considered based on empirical relations presented by Quaas  
817 (2012) and McFarquhar et al. (2003), respectively. The maximum sensitiv-  
818 ity appears for semi-transparent clouds having window-channel brightness  
819 temperatures around 240 and 260 K and integrated total frozen water paths  
820 around  $30 \text{ gm}^{-2}$ . Perturbations in cloud cover and crystal size lead to max-  
821 imum changes between 4 and 5 K in infrared window-channel brightness  
822 temperatures. Absorbing infrared channels are less affected by prescribed  
823 perturbations due to stronger atmospheric contributions.

824 Furthermore, we discuss the problematic aspect of inconsistencies be-  
825 tween model-internal and external formulations of cloud microphysical and  
826 radiative properties. We illustrate the impact of changes in ice-microphysics  
827 on the known cold bias of COSMO-DE, for which an  $\approx 170\%$  overestimation  
828 of the occurrence of cold window channel brightness temperatures between  
829 220 and 240 K is found. We show that the magnitude of the observed bias is  
830 sensitive to systematic changes in subgrid-scale cloud cover parameterization  
831 and particle size and habit. Thus, a significant portion of between 35% and  
832 70% of the COSMO-DE cold bias can be attributed to the representation  
833 of ice clouds in the satellite forward operator. We additionally discuss the  
834 use of window-channel brightness temperature differences of the 8.7, 10.8  
835 and  $12.0 \mu\text{m}$  channels for the evaluation the model-internal microphysical  
836 formulation. Using a revised description of the satellite forward operator  
837 that is in fact now much more consistent with the model, we hypothesize  
838 that the occurrence frequencies of COSMO-DE ice are overestimated in con-

839 vective situations. We also infer that the assumed shape or habit can have  
840 a strong influence on the realistic representation of brightness temperature  
841 differences.

842 The resulting sensitivity of synthetic observation to the details of the  
843 microphysics makes it clear that a consistent, explicit and well documented  
844 treatment of frozen hydrometeors is required. Further effort is needed to  
845 understand corresponding shortcomings and to reduce the bias between real  
846 and synthetic observations. We strongly emphasize that future reformula-  
847 tions of model-internal parameterizations take the route towards increasing  
848 consistency. One advanced and promising candidate is e.g. the microphysi-  
849 cal formulation based on habit mixtures proposed by Baran et al. (2014a,b).  
850 We further recommend to consider consistency between model-internal mi-  
851 crophysical formulations and observation-based retrieval algorithms that are  
852 used to infer cloud properties from the observations and to evaluate the  
853 model based on physical quantities.

854 In the next steps, we plan to apply the results of the current study to  
855 construct object-based metrics for forecast verification with geostationary  
856 satellite data. This is ongoing work which will help to assess the repre-  
857 sentation of cold clouds in COSMO-DE, their diurnal cycle as well as the  
858 inherent uncertainties in the verification process in more detail. We will  
859 further extend our activities toward the evaluation of the ICON model in  
860 large eddy simulation mode (see e.g. Heinze et al., 2016, for first results).  
861 This poses the new challenge of assessing the impact of cirrus radiative prop-  
862 erties determined by a higher moment scheme resolving scales less than a  
863 kilometer.

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876 **Appendix A. Calculation of generalized effective diameter from**  
877 **COSMO-DE microphysics**

878 In general, the effective particle diameter is usually defined as ratio be-  
879 tween the total particle volume  $V_{tot}$  and the total projected area  $A_{tot}$  (Foot,  
880 1988; McFarquhar and Heymsfield, 1998; Wyser, 1998)

$$D_{eff} = \frac{3 V_{tot}}{2 A_{tot}} = \frac{3}{2 \rho_i} \frac{IWC}{A_{tot}}. \quad (\text{A.1})$$

881 The total particle volume can be approximately related to the volume-  
882 specific particle content, e.g. with  $V_{tot} = IWC/\rho_i$  using the bulk ice density  
883 of  $\rho_i = 0.92 \times 10^3 \text{ kg m}^{-3}$ . For radiative calculations in RTTOV, however,  
884 the generalized effective diameter

$$D_{ge} = \frac{4\sqrt{3}}{9} D_{eff} \quad (\text{A.2})$$

885 is used (Fu, 1996; McFarquhar et al., 2003).

886 The following calculation of  $D_{ge}$  is based on three assumptions: (i) the  
 887 properties of hydrometeors in each grid cell can be determined from a given  
 888 number size distribution  $\mathcal{N}$  as function of the maximum dimension  $D_{max}$   
 889 whose parameters are determined by prognostic model variables, e.g. ice  
 890 mixing ratio, (ii) to simplify the calculation of moments of  $\mathcal{N}$ , the size range  
 891 of  $D_{max}$  is extended to zero and infinity, and (iii) the particle mass  $m$  and  
 892 projected area  $A$  in each size bin are approximated by a power law relation,

$$m(D_{max}) = a_m (D_{max})^{b_m}, \quad (\text{A.3})$$

$$A(D_{max}) = a_A (D_{max})^{b_A}. \quad (\text{A.4})$$

893 Please note that spherical homogeneous particles have  $b_A = 2$  and  $b_m = 3$ .  
 894 For irregularly-shaped ice and snow particles, however, these coefficient can  
 895 significantly deviate. The coefficients of the area relation are not constrained  
 896 by the COSMO-DE microphysics which gives us some freedom to select  
 897 reasonable values from literature, but also introduces uncertainty. We choose  
 898 values tabulated in Mitchell (1996): for ice  $(a_{A,i}, b_{A,i}) = (0.12, 1.85)$  from the  
 899 small hexagonal plates habit, and for snow  $(a_{A,i}, b_{A,i}) = (0.069, 1.75)$  from  
 900 the rimed dendrites habit. Compared to Mitchell (1996), we changed the  
 901 units of  $D_{max}$  and  $A$  to SI units. The ice water content and total projected  
 902 area are then given by

$$\text{IWC} = \int_0^{\infty} dD_{max} m(D_{max}) \mathcal{N}(D_{max}) = a_m \mathcal{M}_{b_m}, \quad (\text{A.5})$$

$$A_{tot} = \int_0^{\infty} dD_{max} A(D_{max}) \mathcal{N}(D_{max}) = a_A \mathcal{M}_{b_A} \quad (\text{A.6})$$



903 with fractional moment of the number size distribution  $\mathcal{N}$  equal to

$$\mathcal{M}_b = \int_0^{\infty} dD_{max} (D_{max})^b \mathcal{N}(D_{max}). \quad (\text{A.7})$$

904 With eq. (A.1) and (A.2), it follows that

$$D_{ge} = \frac{2\sqrt{3}}{3} \frac{a_m}{\rho_i a_A} \frac{\mathcal{M}_{b_m}}{\mathcal{M}_{b_A}}. \quad (\text{A.8})$$

905 COSMO-DE ice is assumed to consist of thin hexagonal plates. In addi-  
 906 tion, a monodisperse size distribution is assumed for which all the particle  
 907 mass is concentrated at a infinitely small particle size bin centered at  $D_i$ ,  
 908 i.e.

$$\mathcal{N}_i(D_{max}) = N_{0,i} \delta(D_{max} - D_i) \quad (\text{A.9})$$

909 where the number of ice particles per volume is parameterized by

$$N_{0,i} = 1.0 \times 10^2 \exp[0.2(T_0 - T)] \text{ m}^{-3}, \quad (\text{A.10})$$

910 which uses the melting point  $T_0 = 273.15$  K. In the above relation,  $T$  is set  
 911 to 236.15 and 273.15 K for colder and warmer temperatures, respectively.  
 912 The corresponding moments are given by  $\mathcal{M}_b = N_{0,i}(D_i)^b$ . With the help of  
 913 the mass relation  $m = a_{m,i} D_i^{b_{m,i}}$  with  $a_{m,i} = 130 \text{ kg m}^{-3}$  and  $b_{m,i} = 3$  (taken  
 914 from the model documentation), the maximum dimension  $D_i$  is related to  
 915 IWC via

$$D_i = \left( \frac{\text{IWC}}{a_{m,i} N_{0,i}} \right)^{1/b_{m,i}}. \quad (\text{A.11})$$

916 Via eq. (A.8), we introduce the generalized effective diameter of COSMO-  
 917 DE ice

$$D_{ge,i} = \frac{2\sqrt{3}}{3} \frac{a_{m,i}}{\rho_i a_{A,i}} (D_i)^{b_{m,i} - b_{A,i}}. \quad (\text{A.12})$$

918 Following Baldauf et al. (2011), snow is assumed to be exponentially  
 919 distributed with the number size distribution

$$\mathcal{N}_s(D_{max}) = N_{0,s} e^{-\lambda D_{max}} , \quad (\text{A.13})$$

920 with the slope parameter  $\lambda$  and the intercept  $N_{0,s}$ . The latter depends on  
 921  $T$  and SWC and is calculated with the method of moments by Field et al.  
 922 (2005). The moments of the exponential distribution are given by

$$\mathcal{M}_b = \frac{N_{0,s} \Gamma(b+1)}{\lambda^{b+1}} . \quad (\text{A.14})$$

923 In addition, the mass relation  $m(D_{max}) = a_{m,s} (D_{max})^{b_{m,s}}$  with  $(a_{m,g}, b_{m,g}) =$   
 924  $(0.038, 2)$  is used to calculate the slope parameter from the snow water con-  
 925 tent SWC as (see Baldauf et al. (2011))

$$\lambda = \left( \frac{N_{0,s} a_{m,s} \Gamma(b_{m,s} + 1)}{\text{SWC}} \right)^{1/(b_{m,s}+1)} . \quad (\text{A.15})$$

926 Hence, the generalized effective diameter of COSMO-DE snow becomes

$$D_{ge,s} = \frac{2\sqrt{3}}{3} \frac{a_{m,s}}{\rho_i a_{A,s}} \frac{\Gamma(b_{m,s} + 1)}{\Gamma(b_{A,s} + 1)} \lambda^{b_{A,s} - b_{m,s}} . \quad (\text{A.16})$$

927 Thus, the model-based  $D_{ge}$  of ice and snow depends exponentially on tem-  
 928 perature due to a prescribed particle number function, and has a power law  
 929 dependence on the hydrometeor mass content.

930 Furthermore, COSMO-DE graupel is also assumed to be exponentially  
 931 distributed and hence determined by similar relations as COSMO-DE snow.  
 932 Following, Baldauf et al. (2011), the intercept is fixed to  $N_{0,g} = 4 \times 10^6 \text{ m}^{-4}$   
 933 and the mass-size relation is governed by the coefficients  $(a_{m,g}, b_{m,g}) =$   
 934  $(169.6, 3.1)$  for  $D_{max}$  and  $m$  in SI units. Thus, replacing  $N_{s,0}$ ,  $a_{m,s}$ ,  $b_{m,s}$ ,  
 935 and SWC in eq. (A.15) with their respective graupel counterparts fully  
 936 determines the graupel particle size distributions. The given mass-relation

937 coefficients related to the lump graupel type R4b given in (Heymsfield and  
 938 Kajikawa, 1987, their table 2). For this hydrometeor type, Mitchell (1996)  
 939 gives coefficient for the area-size relation of  $(a_{A,g}, b_{A,g}) = (0.5, 2)$  also in SI  
 940 units. The COSMO-DE graupel generalized effective diameter  $D_{ge,g}$  is given  
 941 by eq. (A.16) when corresponding parameters are inserted.

## 942 **Appendix B. Approximate category mixing**

943 In the following, we consider a method for mixing frozen hydrometeor  
 944 categories in advance of radiative transfer calculations in order to still stay  
 945 with the old one-category interface of the well established RTTOV routines.  
 946 Here, we ignore the effect of graupel. This is a solution of intermediate  
 947 complexity between the operational SynSat scheme which simply adds ice,  
 948 snow and graupel content and the more sophisticated approach described  
 949 above which infers the radiative properties of ice and snow separately.

950 From  $D_{ge,i}$  and  $D_{ge,s}$ , an approximate generalized, effective diameter of  
 951 the mixture  $D_{ge,mix}$  is derived which produces a similar apparent extinction,  
 952 i.e.  $\tilde{\beta}_{mix} = \tilde{\beta}_i + \tilde{\beta}_s$ . Using the regression models introduced by Fu (1996) and  
 953 the coefficients internally given within RTTOV, it can be shown that  $\tilde{\beta}_i/\text{IWC}$   
 954 and  $\tilde{\beta}_s/\text{SWC}$  (in  $\text{m}^2/\text{kg}$ ) can be represented by a power law dependence on  
 955  $D_{ge,i}$  and  $D_{ge,s}$  (in  $\mu\text{m}$ ), respectively,

$$\frac{\tilde{\beta}_i}{\text{IWC}} = a_{\beta,i} (D_{ge,i})^{b_{\beta,i}}, \quad (\text{B.1})$$

$$\frac{\tilde{\beta}_s}{\text{SWC}} = a_{\beta,s} (D_{ge,s})^{b_{\beta,s}}, \quad (\text{B.2})$$

956 in the range of 20 - 100  $\mu\text{m}$  with acceptable accuracy. Even though, the  
 957 coefficients in the above relations strongly depend on the considered wave-  
 958 length, the median coefficients  $(a_{\beta,i}, a_{\beta,s}) = (13, 6) \times 10^2$  and  $(b_{\beta,i}, b_{\beta,s}) =$

959  $(-1.0, -0.9)$  can be found. Assuming that the radiative properties of the  
 960 mixture are sufficiently described by the behavior of hexagonal ice crystals,  
 961 i.e.

$$\frac{\tilde{\beta}_{mix}}{\text{IWC} + \text{SWC}} = a_{\beta,i} (D_{ge,mix})^{b_{\beta,i}}, \quad (\text{B.3})$$

962 the above coefficients can be utilized to approximate the generalized effective  
 963 diameter of the mixture as

$$D_{ge,mix} = \left[ f_i (D_{ge,i})^{b_{\beta,i}} + (1 - f_i) \frac{a_{\beta,s}}{a_{\beta,i}} (D_{ge,s})^{b_{\beta,s}} \right]^{1/b_{\beta,i}}, \quad (\text{B.4})$$

964 where the fraction of ice  $f_i = \text{IWC}/(\text{IWC} + \text{SWC})$  was defined.  $D_{ge,mix}$   
 965 gives the effective size of ice particles with the content of IWC + SWC  
 966 which lead to similar apparent extinction of infrared radiation as the sum  
 967 of ice with effective size  $D_{ge,i}$  and content IWC and snow with effective size  
 968  $D_{ge,s}$  and content SWC. Generally, the apparent extinction depends on the  
 969 wavelength, thus, eq. (B.4) is of approximate nature and typical relative  
 970 deviations of  $D_{ge,mix}$  range from 4 to 12%.

### 971 **Appendix C. Variation of radiative properties at fixed average** 972 **particle mass**

973 To study the sensitivity of synthetic infrared imagery, perturbations with  
 974 respect to generalized effective diameters  $D_{ge}$  are applied for the calculation  
 975 of radiative properties, e.g.  $\beta_{abs}$  for absorption coefficient. These pertur-  
 976 bations, however, are performed under the constraint of constant average  
 977 particle mass. This restriction is needed as  $D_{ge}$  is calculated based on mass  
 978 content, e.g. IWC, and temperature. An inverted IWC-to- $D_{ge}$  relation  
 979 would couple perturbations of  $D_{ge}$  to variations in IWC which is not wanted  
 980 in our context as IWC is fully constrained by model simulations, however

981  $D_{ge}$  is uncertain due to a less constrained relation for the projected particle  
 982 area and due to a simplified particle size distribution.

983 We consider the absorption cross section per particle

$$\langle \sigma_{abs} \rangle = \int_0^{\infty} dD_{max} A(D_{max}) n(D_{max}) Q_{abs}(D_{max}) = \langle Q_{abs} \rangle_A \langle A \rangle \quad (\text{C.1})$$

984 where  $n(D_{max})$  is the normalized particle size distribution, i.e.  $\mathcal{N}$  divided by  
 985 the total number of particles  $N_{tot}$  per volume, and  $Q_{abs}$  and  $A$  are absorption  
 986 efficiency and particle projected area, respectively. For the last step, the  
 987 absorption efficiency was averaged over the particle size spectrum with the  
 988 particle area as additional weight, and the average particle area  $\langle A \rangle$  was  
 989 introduced. The effective diameter (see eq. (A.1)) can be also stated in  
 990 terms of average properties per particle, i.e.

$$D_{eff} = \frac{3}{2\rho_i} \frac{\langle m \rangle}{\langle A \rangle} \quad (\text{C.2})$$

991 with the average mass per particle  $\langle m \rangle$ . Hence, absorption cross section per  
 992 particle obeys

$$\langle \sigma_{abs} \rangle = \frac{3 \langle Q_{abs} \rangle_A}{2\rho_i} \frac{\langle m \rangle}{D_{eff}} \quad (\text{C.3})$$

993 Variations of  $\langle \sigma_{abs} \rangle$  with respect to  $D_{eff}$  at constant  $\langle m \rangle$  apply to  $\langle Q_{abs} \rangle_A$   
 994 which is an implicit function of  $D_{eff}$  and the  $D_{eff}^{-1}$ -term in the formula  
 995 above.

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		8.7 – 10.8 $\mu\text{m}$				10.8 – 12.0 $\mu\text{m}$			
$\tau$	$D_{ge}/\mu\text{m}$	IWC/( $\text{g m}^{-3}$ )	$r_{depth}/\text{km}$	$\Delta r_{depth}/\%$	$\Delta\langle\sigma_{abs}\rangle/\%$	$\Delta\langle\sigma_{sca}\rangle/\%$	$\Delta r_{depth}/\%$	$\Delta\langle\sigma_{abs}\rangle/\%$	$\Delta\langle\sigma_{sca}\rangle/\%$
0.01	20	$2.5\times 10^{-4}$	68.2	17.6	-21.6	130.6	17.7	-18.2	-45.5
0.10	30	$2.6\times 10^{-3}$	9.6	11.0	-14.9	79.4	12.1	-10.3	-30.8
0.72	50	$3.0\times 10^{-2}$	1.4	4.9	-7.7	34.7	5.5	-1.9	-17.9
3.05	80	0.21	0.3	0.9	-2.0	9.9	-0.1	4.1	-10.4
5.69	100	0.49	0.2	-0.2	-0.4	7.0	-1.5	5.3	-9.1

Table 1: Typical values of infrared penetration depth  $r_{depth}$  at 10.8  $\mu\text{m}$  wavelength for homogeneous cirrus clouds. Generalized effective particle diameter  $D_{ge}$  and ice water content IWC are linked by conversion relations given by McFarquhar et al. (2003).  $\tau$  is the infrared cloud-optical depth in nadir view for a one-kilometer thick cirrus cloud.  $\Delta r$ ,  $\Delta\langle\sigma_{abs}\rangle$  and  $\Delta\langle\sigma_{sca}\rangle$  give the difference in penetration depth, absorption cross section and scattering cross section per particle for the channel combinations 8.7 and 10.8  $\mu\text{m}$  as well as 10.8 and 12.0  $\mu\text{m}$  relative to the value at 10.8  $\mu\text{m}$  wavelength in percent. Numbers are based on RTTOV internal radiative property calculations for hexagonal crystal shape.

1181 **List of Figure Captions**

1182 Figure 1: Example scene at 5 July 2012 at 12 UTC / 13 LT. Compared  
1183 are (a) operationally provided BT of the 10.8  $\mu\text{m}$  channel in K,  
1184 and (b) ice, (c) snow and (d) graupel water path from COSMO-  
1185 DE in  $\text{gm}^{-2}$  and logarithmic color scaling as well as (e) SEVIRI  
1186 BT10.8 and (f) FWP for Meteosat-8 observations. For BT10.8,  
1187 color-enhancement is used (Setvak et al., 2010), in which values  
1188 greater than 240 K are shown in gray shades with darker colors  
1189 for warmer BTs, and values between 240 and 210 K in rainbow  
1190 colors. Meteosat FWP is derived by the KNMI cloud physical  
1191 properties algorithm further described in Bley et al. (2016).

1192 Figure 2: Functional relationship for the IWC-to- $D_{ge}$  conversion based on  
1193 fits given in McFarquhar et al. (2003). The base-case (thick  
1194 solid line),  $\pm\sigma$  (inner dashed lines, shaded interval) and  $\pm 2\sigma$   
1195 cases (outer dashed lines) are given. For illustration, a Gaus-  
1196 sian distribution with equal mean and standard deviation as the  
1197 calculated generalized effective diameter  $D_{ge}$  at  $0.5 \text{ gm}^{-3}$  is in-  
1198 dicated at the y axis.

1199 Figure 3: Occurrence frequencies of generalized effective diameter of (a) ice  
1200  $D_{ge,i}$ , (b) snow  $D_{ge,s}$ , and (c) graupel  $D_{ge,g}$  in relation to the  $D_{ge}$   
1201 calculated from the McFarquhar et al. (2003) base case. Shown  
1202 are occurrence frequencies constructed from all 296 COSMO-DE  
1203 scenes and normalized by its respective maximum value with log-  
1204 arithmic color scale. The one-to-one line is shown for guidance.  
1205 As graupel joint occurrence frequencies fall onto one line they  
1206 have been plotted with filled circles for improved clearness with

1207 colors indicating the normalized occurrence frequency. Dashed  
1208 lines in panels (b) and (c) mark the range of the respective pre-  
1209 vious plot. The further panels show the occurrence frequencies  
1210 of (d) particle sizes, (e) water path, and (f) relative fraction of  
1211 the water path to the total frozen water path for ice (green solid  
1212 line), snow (red), and graupel (blue). For (e) and (f), binning  
1213 was performed in logarithmic scale and zero water path values  
1214 have been included.

1215 Figure 4: Color-enhanced BT10.8 (upper row) and BT10.8 difference (lower  
1216 row) for the example scene already given in Fig. 1. We show the  
1217 simulation results of independent revisions of (a, d) cloud cover,  
1218 (b, e) generalized effective diameter, as well as (c, f) the fully  
1219 revised scheme. The BT10.8 difference was calculated with re-  
1220 spect to a reference simulation with operational SynSat settings.  
1221 All values are given in K.

1222 Figure 5: Occurrence frequency bias of BT10.8 relative to the operational  
1223 setup (upper row) and to the Meteosat observation (lower row).  
1224 Independent changes in the formulation of (a, d) cloud cover,  
1225 (b, e) generalized effective diameter, and (c, f) the fully revised  
1226 scheme are shown. Median values (thick black lines) and in-  
1227 terquartile range (gray-shaded intervals) are calculated over all  
1228 296 COSMO-DE scenes. The frequency bias of the example scene  
1229 of Fig. 1 is added as dashed blue lines. The case-to-case median  
1230 frequency bias of the operational SynSat relative to the observa-  
1231 tion is added to each panel in the lower row with red solid lines  
1232 for completeness.

1233 Figure 6: Similar to Fig. 4d-f, but for the BT10.8 standard deviation for



1234 perturbations in (a) subgrid-scale cloud cover and (b) crystal  
1235 size.

1236 Figure 7: Two-dimensional frequency plots for occurrence of BT deviations  
1237 conditioned on occurrence of a reference BT of the revised scheme  
1238 for 3 infrared MSG SEVIRI channels at 6.2, 7.3 and 10.8  $\mu\text{m}$   
1239 (1st to 3rd row) and the BTDs  $T_{10.8} - T_{12.0}$  (4th row) and  $T_{8.7} -$   
1240  $T_{10.8}$  (5th row), as well as two perturbation setups: cloud cover  
1241 perturbation (left) and crystal size perturbation (right). Relative  
1242 frequencies (color shades) have been normalized to one for each  
1243 average BT bin. Median and upper / lower quartiles have been  
1244 drawn by solid and dashed lines, respectively. Please note that  
1245 the range of typical BTs differs for different channels (x-axis),  
1246 increasing from (210, 240) K and (210, 260) K for the water  
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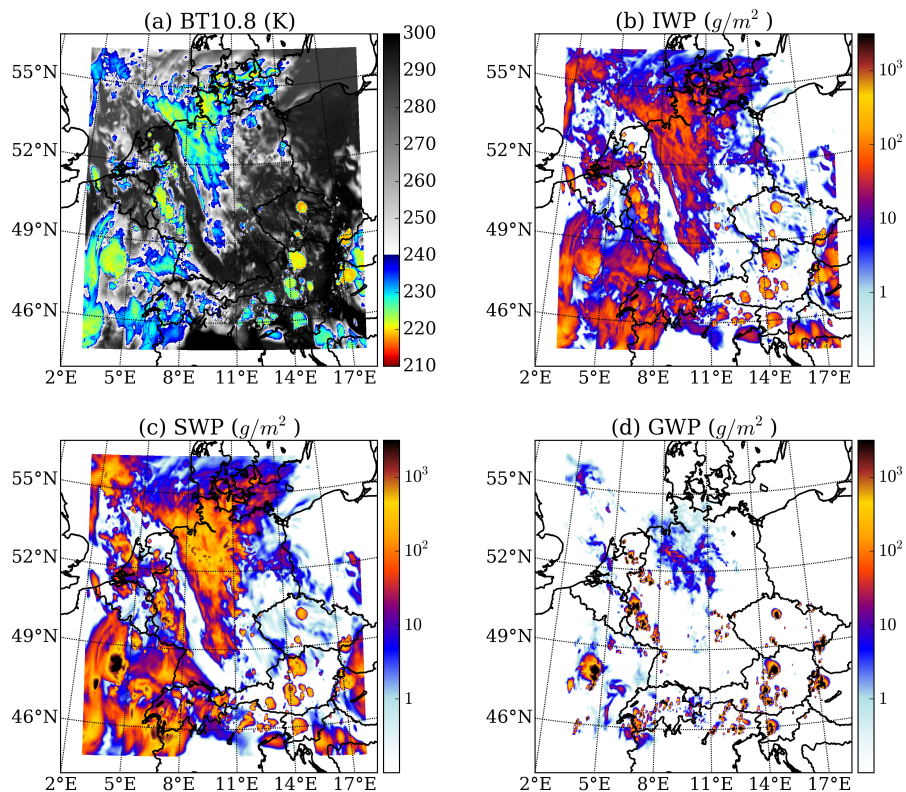
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1251 Figure 9: Normalized median occurrence frequencies of  $T_{10.8}$  (left),  $T_{10.8} -$   
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1265 intervals: FWP =  $0 \text{ gm}^{-2}$  (black);  $(0, 10) \text{ gm}^{-2}$  (dark gray);  $(10,$   
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1271 mixture has been used for normalization.

### COSMO-DE



### SEVIRI

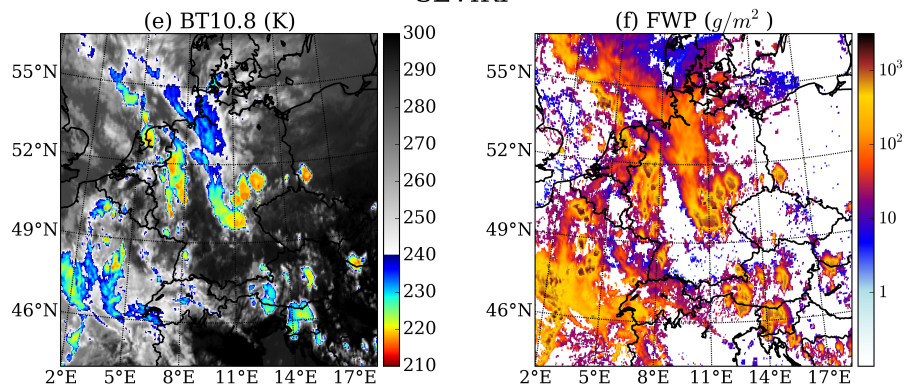


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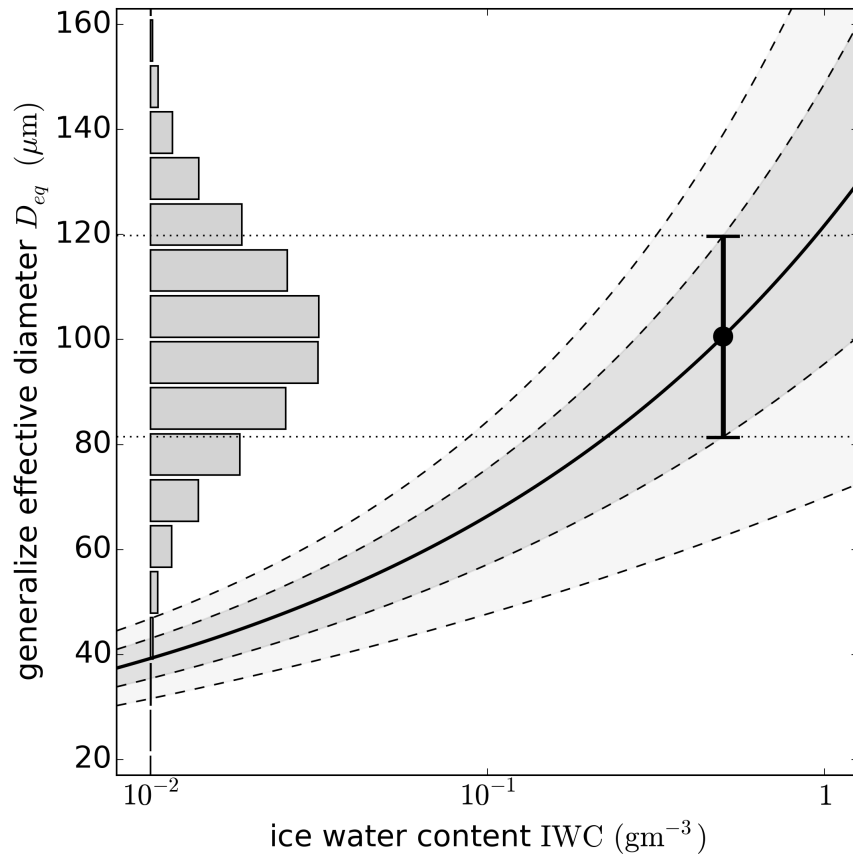


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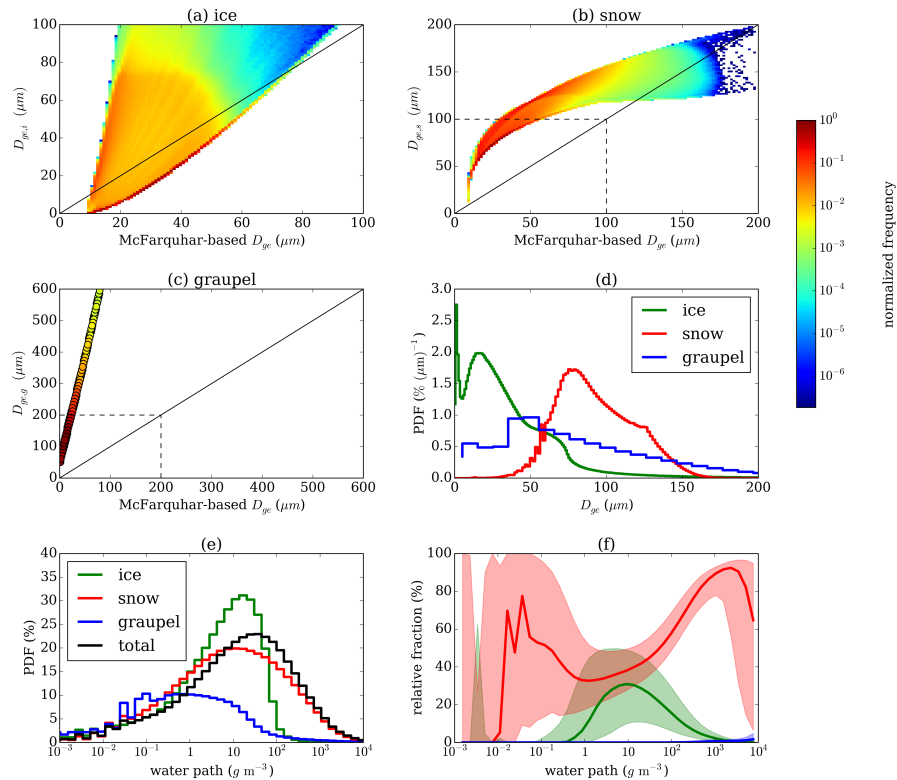


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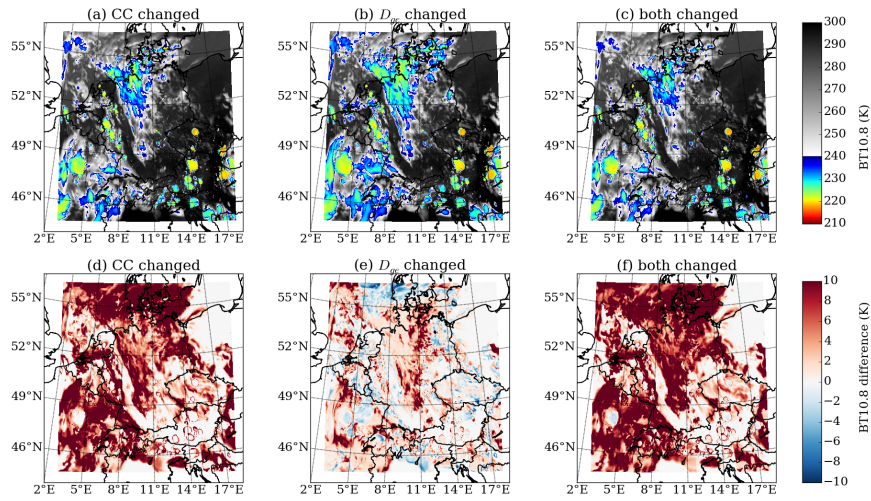


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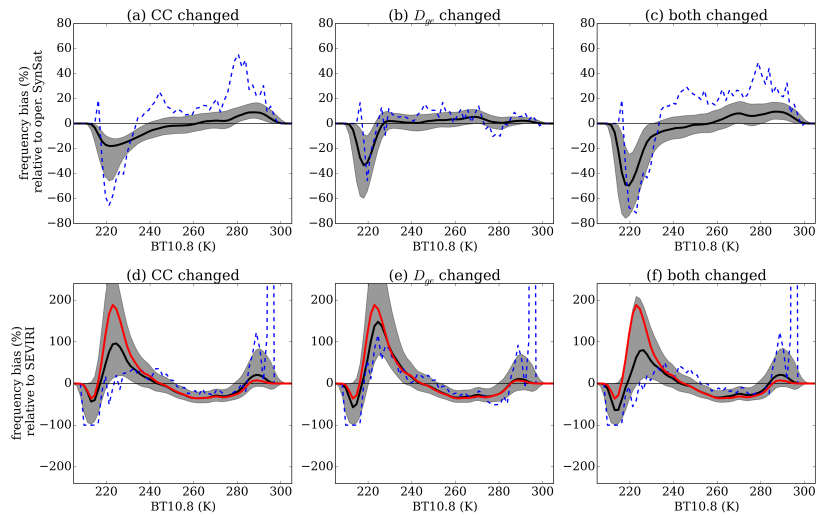


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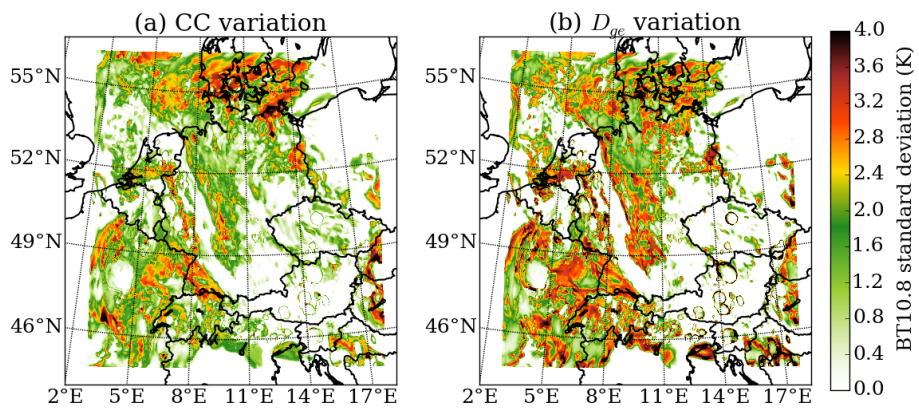


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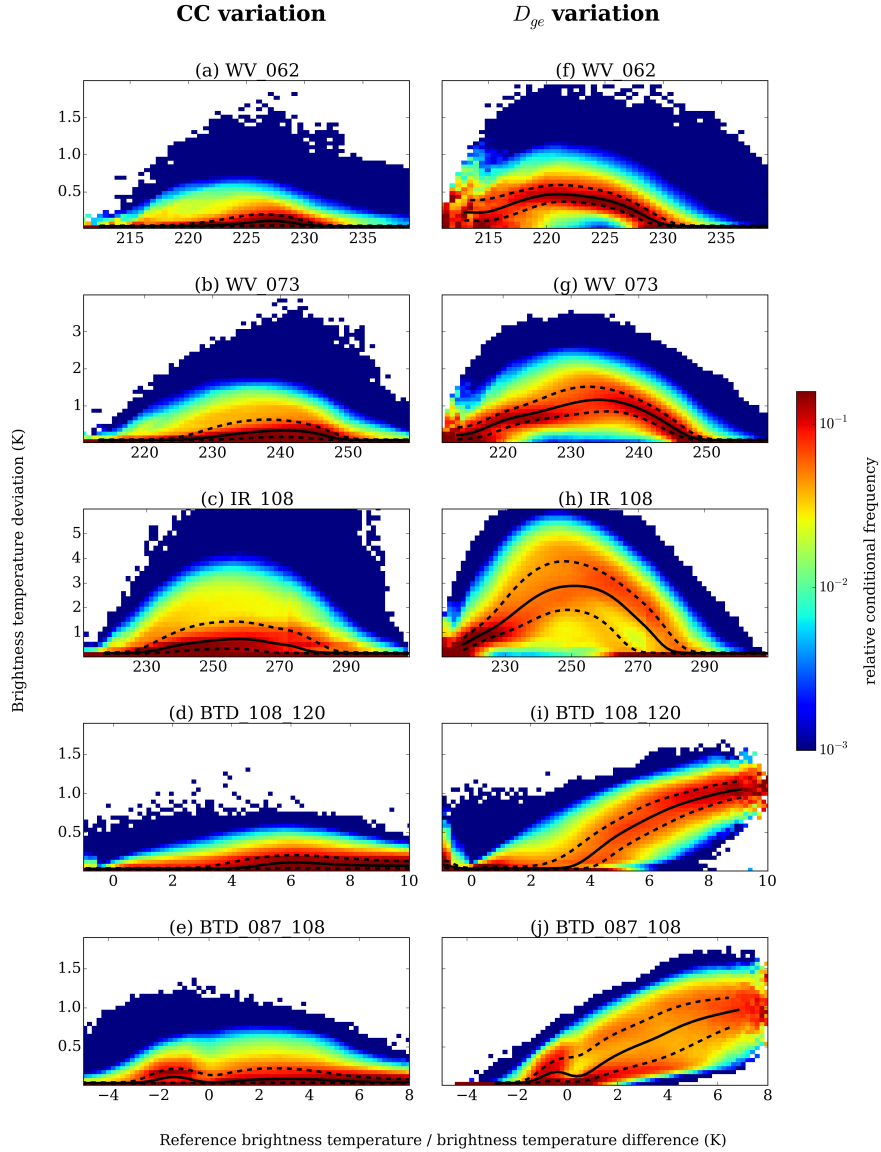


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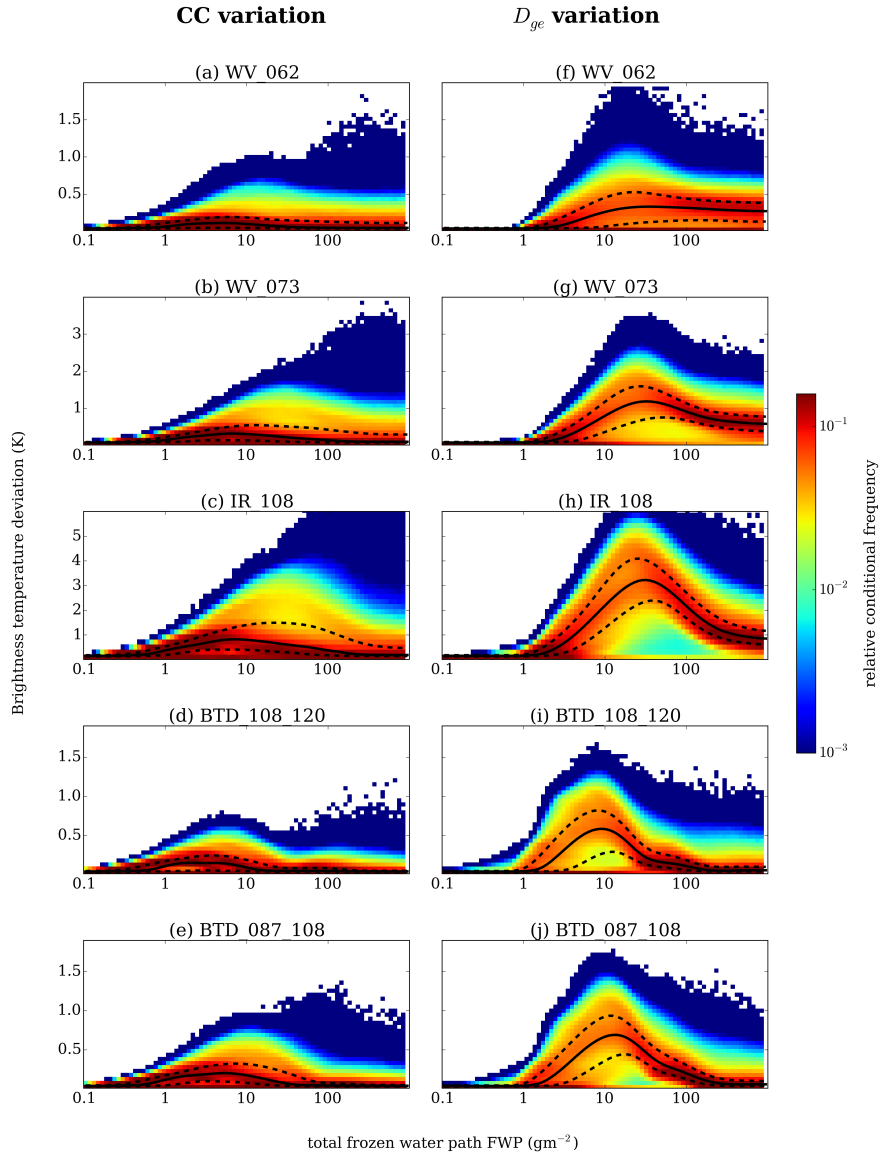


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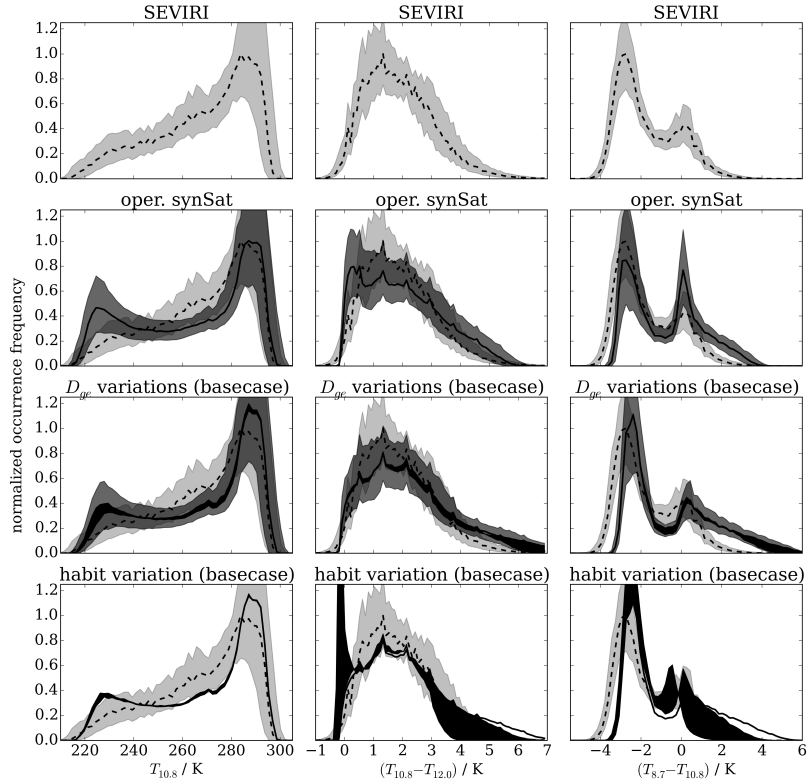


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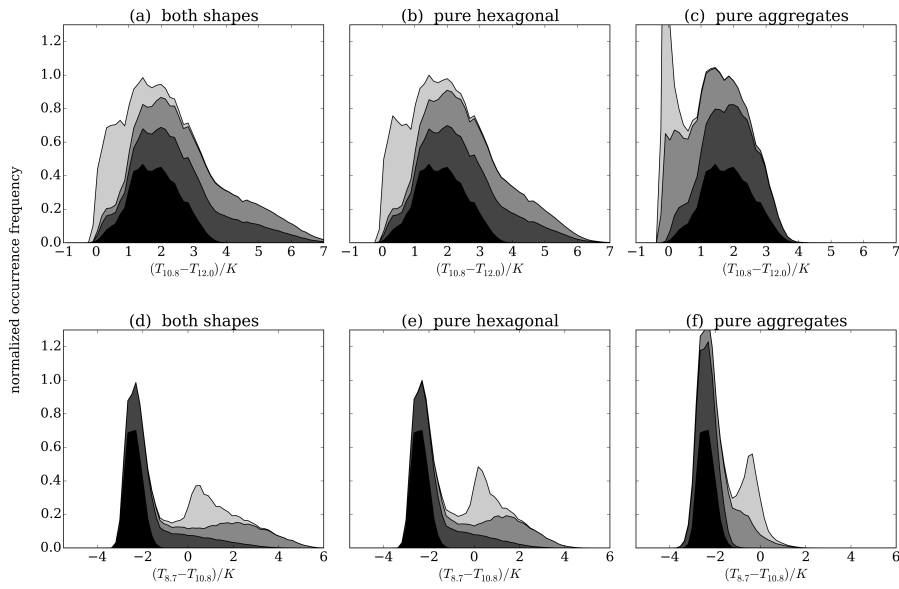


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